



QUARTERLY STATEMENT

AS OF MARCH 31, 2019
OF THE CONDITION AND AFFAIRS OF THE

Upper Peninsula Health Plan, LLC

NAIC Group Code	04734	(Current Period)	04734	(Prior Period)	NAIC Company Code	52615	Employer's ID Number	46-0927995
Organized under the Laws of	Michigan				State of Domicile or Port of Entry	Michigan		
Country of Domicile	United States							
Licensed as business type:	Life, Accident & Health []		Property/Casualty []		Hospital, Medical & Dental Service or Indemnity []			
	Dental Service Corporation []		Vision Service Corporation []		Health Maintenance Organization [X]			
	Other []				Is HMO Federally Qualified? Yes [] No [X]			
Incorporated/Organized	10/23/1997		Commenced Business		08/01/1998			
Statutory Home Office	853 W. Washington St.				Marquette, MI, US 49855			
	(Street and Number)				(City or Town, State, Country and Zip Code)			
Main Administrative Office	853 W. Washington St.		Marquette, MI, US 49855		906-225-7500			
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)			
Mail Address	853 W. Washington St.		Marquette, MI, US 49855					
	(Street and Number or P.O. Box)		(City or Town, State, Country and Zip Code)					
Primary Location of Books and Records	853 W. Washington St.		Marquette, MI, US 49855		906-225-7500			
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)			
Internet Web Site Address					uphp.com			
Statutory Statement Contact	Leslie Ellen Luke		906-227-5696					
	(Name)		(Area Code) (Telephone Number) (Extension)					
	lluke@uphp.com		906-225-8687					
	(E-Mail Address)		(FAX Number)					

OFFICERS

Name	Title	Name	Title
Melissa Ann Holmquist	President	Leslie Ellen Luke	Treasurer
Johanna Marie Novak	Secretary	Melanie Lyn Bicino	Chief Operating Officer

OTHER OFFICERS

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DIRECTORS OR TRUSTEES

Michelle Marie Tavernier	David Barry Jahn	Margie Mae Hale #	Donald Michael Pawelski
Robert Conrad Deese	Robert Thomas Crumb #	Robert Vincent Vairo	Scott Frederick Pillion
Brian Robert Sinotte			

State of Michigan

County of Marquette

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The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Melissa Ann Holmquist President	Leslie Ellen Luke Treasurer	Johanna Marie Novak Secretary
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a. Is this an original filing? Yes [X] No []

b. If no:

1. State the amendment number

2. Date filed

3. Number of pages attached

Subscribed and sworn to before me this 9th day of May, 2019

Tanya M. Jennings, Director of Human Resources
October 11, 2019

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	26,816,006		26,816,006	21,647,349
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	11,600,676		11,600,676	11,694,467
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$9,461,206), cash equivalents (\$25,243,309) and short-term investments (\$9,975,626)	44,680,141		44,680,141	40,303,698
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives	0		0	0
8. Other invested assets	0		0	0
9. Receivables for securities	11,229		11,229	1,122
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	83,108,052	0	83,108,052	73,646,636
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	262,415		262,415	207,661
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	26,018,797		26,018,797	30,140,507
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums (\$338,916) and contracts subject to redetermination (\$)	338,916		338,916	3,692,426
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	0
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans	55,743		55,743	175,096
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset			0	0
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software	312,583	19,643	292,940	322,791
21. Furniture and equipment, including health care delivery assets (\$)	442,908	442,908	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	5,710		5,710	4,923
24. Health care (\$) and other amounts receivable	4,703,672	4,703,672	0	0
25. Aggregate write-ins for other-than-invested assets	933,893	933,893	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	116,182,689	6,100,116	110,082,573	108,190,040
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	116,182,689	6,100,116	110,082,573	108,190,040
DETAILS OF WRITE-INS				
1101.			0	0
1102.			0	0
1103.			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Prepaids	883,424	883,424	0	0
2502. Vehicles	50,469	50,469	0	0
2503.			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	933,893	933,893	0	0

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$1,084,357 reinsurance ceded).....	25,843,327		25,843,327	23,413,941
2. Accrued medical incentive pool and bonus amounts			0	0
3. Unpaid claims adjustment expenses	267,900		267,900	219,000
4. Aggregate health policy reserves including the liability of \$ for medical loss ratio rebate per the Public Health Service Act			0	0
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance	4,527		4,527	5,641
9. General expenses due or accrued	2,351,423		2,351,423	1,297,503
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	0
10.2 Net deferred tax liability.....			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others	422,887		422,887	452,686
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	827,261		827,261	68,628
16. Derivatives.....			0	0
17. Payable for securities			0	0
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans	23,568,017		23,568,017	26,986,785
23. Aggregate write-ins for other liabilities (including \$ current)	0	0	0	0
24. Total liabilities (Lines 1 to 23).....	53,285,342	0	53,285,342	52,444,184
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX		0
27. Preferred capital stock	XXX	XXX		0
28. Gross paid in and contributed surplus	XXX	XXX	3,427,727	3,427,727
29. Surplus notes	XXX	XXX		0
30. Aggregate write-ins for other-than-special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	53,369,504	52,318,129
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		0
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	56,797,231	55,745,856
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	110,082,573	108,190,040
DETAILS OF WRITE-INS				
2301.			0	0
2302.			0	0
2303.			0	0
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	0	0	0	0
2501.	XXX	XXX		0
2502.	XXX	XXX		0
2503.	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	0	0
3001.	XXX	XXX		0
3002.	XXX	XXX		0
3003.	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX	148,588	148,082	590,677
2. Net premium income (including \$ non-health premium income).....	XXX	62,027,456	63,379,875	250,893,320
3. Change in unearned premium reserves and reserve for rate credits	XXX		0	0
4. Fee-for-service (net of \$ medical expenses)	XXX		0	0
5. Risk revenue	XXX		0	0
6. Aggregate write-ins for other health care related revenues	XXX	22,283	1,063,227	3,459,575
7. Aggregate write-ins for other non-health revenues	XXX	0	0	39,889
8. Total revenues (Lines 2 to 7)	XXX	62,049,739	64,443,102	254,392,784
Hospital and Medical:				
9. Hospital/medical benefits		39,574,038	40,505,377	159,954,932
10. Other professional services		5,619,295	5,104,784	21,590,523
11. Outside referrals			0	0
12. Emergency room and out-of-area		411,396	2,084,090	1,664,172
13. Prescription drugs		9,333,456	10,549,968	40,329,424
14. Aggregate write-ins for other hospital and medical.....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts.....			0	0
16. Subtotal (Lines 9 to 15)	0	54,938,185	58,244,219	223,539,051
Less:				
17. Net reinsurance recoveries			0	1,090,346
18. Total hospital and medical (Lines 16 minus 17)	0	54,938,185	58,244,219	222,448,705
19. Non-health claims (net).....			0	0
20. Claims adjustment expenses, including \$ 769,519 cost containment expenses.....		1,231,091	1,077,571	5,390,585
21. General administrative expenses.....		3,524,286	4,554,700	17,002,586
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....			0	0
23. Total underwriting deductions (Lines 18 through 22)	0	59,693,562	63,876,490	244,841,876
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	2,356,177	566,612	9,550,908
25. Net investment income earned		976,039	159,712	1,317,224
26. Net realized capital gains (losses) less capital gains tax of \$			0	0
27. Net investment gains (losses) (Lines 25 plus 26)	0	976,039	159,712	1,317,224
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]			0	0
29. Aggregate write-ins for other income or expenses	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	3,332,216	726,324	10,868,132
31. Federal and foreign income taxes incurred	XXX		0	0
32. Net income (loss) (Lines 30 minus 31)	XXX	3,332,216	726,324	10,868,132
DETAILS OF WRITE-INS				
0601. Miscellaneous Revenues.....	XXX	22,283	0	3,459,575
0602. ACA tax revenue.....	XXX		1,063,227	0
0603.	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX	22,283	1,063,227	3,459,575
0701. Gain/Loss on asset disposal.....	XXX		0	(52,301)
0702. TIFF Revenue.....	XXX		0	92,190
0703.	XXX		0	0
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX	0	0	39,889
1401.			0	0
1402.			0	0
1403.			0	0
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)	0	0	0	0
2901.			0	0
2902.			0	0
2903.			0	0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	55,745,856	55,151,825	55,151,825
34. Net income or (loss) from Line 32	3,332,216	726,324	10,868,132
35. Change in valuation basis of aggregate policy and claim reserves		0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$		0	0
37. Change in net unrealized foreign exchange capital gain or (loss)		0	0
38. Change in net deferred income tax		0	0
39. Change in nonadmitted assets	(2,280,841)	1,665,707	(493,937)
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles		0	0
44. Capital Changes:			
44.1 Paid in		0	0
44.2 Transferred from surplus (Stock Dividend)		0	0
44.3 Transferred to surplus		0	0
45. Surplus adjustments:			
45.1 Paid in		0	(155,143)
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital		0	0
46. Dividends to stockholders		0	0
47. Aggregate write-ins for gains or (losses) in surplus	0	0	(9,625,021)
48. Net change in capital and surplus (Lines 34 to 47)	1,051,375	2,392,031	594,031
49. Capital and surplus end of reporting period (Line 33 plus 48)	56,797,231	57,543,856	55,745,856
DETAILS OF WRITE-INS			
4701. Equity Distributions.....		0	(7,500,000)
4702. Repurchase.....		0	(2,125,021)
4703.		0	0
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	0	0	(9,625,021)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	69,501,562	74,939,992	227,867,082
2. Net investment income	928,587	175,748	1,671,404
3. Miscellaneous income	22,283	1,063,227	3,499,464
4. Total (Lines 1 to 3)	70,452,432	76,178,967	233,037,950
5. Benefit and loss related payments	52,508,799	63,171,222	234,753,807
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	6,951,972	3,729,211	(2,741,631)
8. Dividends paid to policyholders		0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	0	0	0
10. Total (Lines 5 through 9)	59,460,771	66,900,433	232,012,176
11. Net cash from operations (Line 4 minus Line 10)	10,991,661	9,278,534	1,025,774
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	950,000	4,332,000	5,182,000
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	66,137	0	0
12.7 Miscellaneous proceeds	93,791	93,650	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	1,109,928	4,425,650	5,182,000
13. Cost of investments acquired (long-term only):			
13.1 Bonds	6,125,959	5,468,867	7,662,848
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	21,993
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	76,244	0	1,123
13.7 Total investments acquired (Lines 13.1 to 13.6)	6,202,203	5,468,867	7,685,964
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(5,092,275)	(1,043,217)	(2,503,964)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	(155,143)
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities		0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied).....	(1,522,944)	25,614	(9,440,431)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(1,522,944)	25,614	(9,595,574)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	4,376,442	8,260,931	(11,073,764)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	40,303,698	51,377,462	51,377,462
19.2 End of period (Line 18 plus Line 19.1)	44,680,140	59,638,393	40,303,698

STATEMENT AS OF MARCH 31, 2019 OF THE Upper Peninsula Health Plan, LLC

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	48,878	.0	.0	.0	.0	.0	.0	4,771	44,107	.0
2. First Quarter	49,835	.0	.0	.0	.0	.0	.0	4,609	45,226	.0
3. Second Quarter0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4. Third Quarter0									
5. Current Year	0									
6. Current Year Member Months	148,588							14,020	134,568	
Total Member Ambulatory Encounters for Period:										
7. Physician	67,295							10,611	56,684	
8. Non-Physician	57,067							9,807	47,260	
9. Total	124,362	0	0	0	0	0	0	20,418	103,944	0
10. Hospital Patient Days Incurred	3,775							1,184	2,591	
11. Number of Inpatient Admissions	968							252	716	
12. Health Premiums Written (a).....	62,159,772							23,183,116	38,976,656	
13. Life Premiums Direct.....	.0									
14. Property/Casualty Premiums Written0									
15. Health Premiums Earned	62,159,772							23,183,116	38,976,656	
16. Property/Casualty Premiums Earned0									
17. Amount Paid for Provision of Health Care Services	54,634,935							24,825,062	29,809,873	
18. Amount Incurred for Provision of Health Care Services	55,070,503							23,950,273	31,120,230	

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 13,917,859

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]

UNDERWRITING AND INVESTMENT EXHIBIT
ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)					0	0
2. Medicare Supplement					0	0
3. Dental only					0	0
4. Vision only					0	0
5. Federal Employees Health Benefits Plan					0	0
6. Title XVIII - Medicare	8,810,418	15,987,571	3,835,938	9,524,545	12,646,356	11,478,234
7. Title XIX - Medicaid	9,442,236	20,262,393	3,996,473	8,486,372	13,438,709	11,935,707
8. Other health					0	0
9. Health subtotal (Lines 1 to 8).....	18,252,654	36,249,964	7,832,411	18,010,917	26,085,065	23,413,941
10. Health care receivables (a)			3,467,168	1,236,504	3,467,168	2,709,854
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts					0	0
13. Totals (Lines 9-10+11+12)	18,252,654	36,249,964	4,365,243	16,774,413	22,617,897	20,704,087

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies and Going Concern

A) Accounting Practices

The accompanying statutory financial statements of Upper Peninsula Health Plan, LLC (the “Company”) have been prepared in conformity with accounting practices prescribed or permitted by the Michigan Department of Insurance and Financial Services (“DIFS”). DIFS recognizes only statutory accounting practices prescribed or permitted by the State of Michigan for determining and reporting the financial condition and results of operations of an insurance company, which include accounting practices and procedures adopted by the National Association of Insurance Commissioners’ (“NAIC”) Accounting Practices and Procedures Manual (“NAIC SAP”).

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Michigan is shown below:

		SSAP#	F/S Page	F/S Line	2019	2018
NET INCOME						
(1)	Upper Peninsula Health Plan, LLC state basis (Page 4, Line 32, Columns 2 & 3)				\$ 3,332,216	\$ 10,868,132
(2)	State Prescribed Practices that increase/(decrease) NAIC SAP:	N/A				
(201)	e.g., Depreciation of fixed assets					
(299)	Total	N/A				
(3)	State Permitted Practices that increase/(decrease) NAIC SAP:	N/A				
(301)	e.g., Depreciation, home office property					
(399)	Total	N/A				
(4)	NAIC SAP (1-2-3 = 4)				\$ 3,332,216	\$ 10,868,132
SURPLUS						
(5)	Upper Peninsula Health Plan, LLC state Basis (Page 3, Line 33, Columns 3 & 4)				\$ 56,797,231	\$ 55,740,931
(6)	State Prescribed Practices that increase/(decrease) NAIC SAP:	N/A				
(601)	e.g., Goodwill, net; Fixed Assets, net					
(699)	Total	N/A				
(7)	State Permitted Practices that increase/(decrease) NAIC SAP:	N/A				
(701)	e.g., Home office property					
(799)	Total	N/A				
(8)	NAIC SAP (5-6-7 = 8)				\$ 56,797,231	\$ 55,740,931

B) Use of Estimates in the Preparation of the Financial Statements

No material change.

C) Accounting Policy

- (2) Bonds, Mandatory Convertible Securities & SVO-Identified investments – The Company does not have any mandatory convertible securities or SVO identified investments.
- (6) Loan-backed securities – The Company does not own loan backed securities.

D) Going Concern – There are no conditions or events that would prevent the Company to continue as a going concern.

Note 2 - Accounting Changes and Corrections of Errors

As a result of our 2018 year-end audit, it was discovered there were supplemental payments that were not accrued as of December 31, 2018. We corrected the issue and will be restating our 2018 annual statement. This change increased our total assets and liabilities by \$10.5 million. There was no change to net income since the expense and revenue are reported net under general administrative expenses.

Note 3 - Business Combinations and Goodwill

No material change.

Note 4 - Discontinued Operations

No material change.

Note 5 - Investments

- D. Loan-Backed Securities – NONE
- E. Repurchase Agreements and/or Securities Lending Transactions – NONE
- (3)b. Collateral - NONE
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - NONE
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing – NONE
- H. Repurchase Agreements Transactions Accounted for as a Sale – NONE

NOTES TO FINANCIAL STATEMENTS

- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - NONE
- M. Working Capital Finance Investments – NONE
 - (2) Book/adjusted carrying value - NONE
 - (3) Events of default - NONE
- N. Offsetting and Netting of Assets and Liabilities – NONE

Note 6 - Joint Ventures, Partnerships, and Limited Liability Companies

NONE

Note 7 – Investment Income

No material change.

Note 8 - Derivative Instruments

H. NONE

Note 9 - Income Taxes

NONE

Note 10-Information Concerning Parent, Subsidiaries, and Affiliates

No material change.

Note 11-Debt

B. FHLB (Federal Home Loan Bank) Agreements: Not Applicable

Note 12-Retirement Plans, Deferred Compensation, Postemployment Benefits & Compensated Absences, and other Postretirement Benefit Plans

A. (4) Defined Benefit Plan Net Periodic Benefit Cost: Not Applicable

Note 13-Capital, Surplus, Shareholder’s Dividend Restrictions and Quasi-Reorganizations

NONE

Note 14-Contingencies

NONE

Note 15-Leases

NONE

Note 16-Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

NONE

Note 17-Sale, Transfer, and Servicing of Financial Assets and Extinguishments of Liabilities

- B. Transfer and Servicing of Financial Assets - NONE
 - (2) Servicing assets and liabilities – NONE
 - (4) Securitizations, asset-backed financing arrangements - NONE
- C. Wash Sales - NONE

Note 18-Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

- A. The gain from operations from Administrative Services Only (ASO) uninsured plans and the uninsured portion of partially insured plans was as follows at the end of the 1st quarter of 2019.

NOTES TO FINANCIAL STATEMENTS

		ASO Uninsured Plans	Uninsured Portion of Partially Insured Plans	Total ASO
a.	Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ 52,902	\$ -	\$ 52,902
b.	Total net other income or expenses (including interest paid to or received from plans)	\$ -	\$ -	\$ -
c.	Net gain or (loss) from operations	\$ 52,902	\$ -	\$ 52,902
d.	Total claims payment volume	\$ 20,869,399	\$ -	\$ 20,869,399

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

NONE

Note 20 – Fair Value Measurements

In general, the Level 1 fair values are established from quoted (unadjusted) market prices in active markets for identical assets and liabilities that the Company has the ability to access.

A. Fair Value at Reporting Date

- Fair Value Measurements at Reporting Date - NONE
- Fair Value Measurements in Level 3 – NONE
- The Company’s policy for determining transfers between levels are recognized and determined at the end of the reporting period.
- As of March 31, 2019, the reported fair value of the reporting entity’s investments in Level 3, NAIC designated 6, residential mortgage-backed securities was \$0.
- Derivative assets and liabilities - NONE

B. Fair value information disclosed under SSAP No. 100 combined with fair value information under other accounting pronouncements – NONE

C. Aggregate Fair Value of all Financial Instruments

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable Carrying Value
Bonds	\$ 26,776,358	\$ 26,816,005	\$ 24,566,005	\$ 2,250,000		\$ -
Short Term Investments	\$ 9,978,340	\$ 9,975,625	\$ 9,975,625			
Cash Equivalents	\$ 25,243,309	\$ 25,243,309	\$ 25,243,309			
Total	\$ 61,998,007	\$ 62,034,939	\$ 59,784,939	\$ 2,250,000	\$ -	\$ -

D. Not practicable to estimate fair value - NONE

Note 21 – Other Items

No material change

Note 22-Events Subsequent

Type 1 – Recognized subsequent events – Not Applicable
Type 2 – No material change

Note 23-Reinsurance

No material change.

Note 24-Retrospectively Rated Contracts & Contracts Subject to Redetermination

E. Risk Sharing Provisions of the Affordable Care Act: NONE

Note 25-Change in Incurred Claims and Claim Adjustment Expense

NOTES TO FINANCIAL STATEMENTS

- A. Reserves as of December 31, 2018 were \$23,413,941 for unpaid claims and \$219,000 for unpaid claims adjustment expenses. As of March 31, 2019 \$18,252,654 has been paid for incurred claims and attributable to insured events of prior years. Claims expense reserves remaining for prior years are now \$7,832,412 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been an unfavorable prior year development of \$2,764,856 during 2019 for the year ended December 31, 2018. Original estimates are increased or decreased as additional information becomes known regarding individual claims.
- B. No material change.

Note 26-Intercompany Pooling Arrangements

No material change.

Note 27-Structured Settlement

No material change.

Note 28-Health Care Receivables

As of March 31, 2019 the identified pharmacy rebates recorded as healthcare receivables are \$4,703,672.

A. Pharmaceutical Rebate Receivables					
Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More Than 180 Days After Billing
3/31/2019	4,703,672	0	0	0	0
12/31/2018	2,709,854	0	0	0	1,149,826
9/30/2018	2,064,887	0	0	1,562,030	987,027
6/30/2018	3,061,739	0	0	0	0
3/31/2018	1,835,264	0	0	1,725,214	0
12/31/2017	1,635,264	0	0	817,633	0
9/30/2017	1,660,904	0	0	1,276,103	98,106
6/30/2017	1,420,745	0	0	675,751	0
3/31/2017	858,068	0	0	0	1,061,451
12/31/2016	0	0	0	0	1,380,457
9/30/2016	0	0	0	0	91,614
6/30/2016	0	0	0	0	454,343
3/31/2016	0	0	0	0	663,171

B. Risk Sharing Receivables – No material change.

Note 29-Participating Policies

No material change.

Note 30-Premium Deficiency Reserves

No material change.

Note 31-Anticipated Salvage and Subrogation

No material change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes ☒ No ☐

If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☐ No ☒
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☒ No ☐
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group

0001411494
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☐ No ☒

If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2

If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

Yes ☐ No ☒ NA ☐

If yes, attach an explanation.
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2017
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2017
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

03/11/2019
- 6.4

By what department or departments?

Michigan Department of Insurance and Financial Services
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☒ No ☐ NA ☐
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ NA ☐
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☒ No ☐
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
Apollo Capital Management, L.P.	New York, NY	NO	NO	NO	YES
Apollo Credit Management, LLC	New York, NY	NO	NO	NO	YES
Apollo Global Real Estate Management, L.P.	New York, NY	NO	NO	NO	YES
Apollo Investment Management, L.P.	New York, NY	NO	NO	NO	YES
Apollo Management, L.P.	New York, NY	NO	NO	NO	YES
Apollo Global Securities, LLC	New York, NY	NO	NO	NO	YES
Apollo Senior Floating Rate Fund, Inc.	New York, NY	NO	NO	NO	YES
Apollo Tactical Income Fund, Inc.	New York, NY	NO	NO	NO	YES
Apollo Investment Corporation, Inc.	New York, NY	NO	NO	NO	YES
Athene Securities, LLC	West De Moines, IA	NO	NO	NO	YES

GENERAL INTERROGATORIES

9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []

9.11

If the response to 9.1 is No, please explain:
.....

9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
.....

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]

9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).
.....

FINANCIAL

10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?.....

Yes [X] No []

10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:.....\$0

INVESTMENT

11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]

11.2

If yes, give full and complete information relating thereto:
.....

12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:\$0

13.

Amount of real estate and mortgages held in short-term investments:\$0

14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]

14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$0	\$
14.22 Preferred Stock	\$0	\$
14.23 Common Stock	\$0	\$
14.24 Short-Term Investments	\$0	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]

15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes [] No []

If no, attach a description with this statement.

16

For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$0

16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$0

16.3

Total payable for securities lending reported on the liability page

\$0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity’s offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
WELLS FARGO INSTITUTIONAL TRUST SERVICES.....	666 Walnut Street, Des Moines, IA 50309.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [“...that have access to the investment accounts”; “...handle securities”]

1 Name of Firm or Individual	2 Affiliation
Melissa Holmquist, CEO.....	I.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity’s assets?

Yes [] No [X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity’s assets?

Yes [] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of “A” (affiliated) or “U” (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?

Yes [X] No []

18.2 If no, list exceptions:
.....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or
 - PL security is not available.
 - Issuer or obligor is current on all contracted interest and principal payments.
 - The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?.....

Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- The security was purchased prior to January 1, 2018.
 - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?.....

Yes [] No [X]

GENERAL INTERROGATORIES
PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

89.8 %

1.2 A&H cost containment percent

1.2 %

1.3 A&H expense percent excluding cost containment expenses

5.7 %

2.1 Do you act as a custodian for health savings accounts?

Yes ☐ No ☒

2.2 If yes, please provide the amount of custodial funds held as of the reporting date

\$

2.3 Do you act as an administrator for health savings accounts?

Yes ☐ No ☒

2.4 If yes, please provide the balance of the funds administered as of the reporting date

\$

3.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes ☐ No ☒

3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes ☐ No ☒

STATEMENT AS OF MARCH 31, 2019 OF THE Upper Peninsula Health Plan, LLC

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
			NONE					

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories										
States, Etc.	1 Active Status (a)	Direct Business Only								
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts	
1. Alabama	AL	N						0		
2. Alaska	AK	N						0		
3. Arizona	AZ	N						0		
4. Arkansas	AR	N						0		
5. California	CA	N						0		
6. Colorado	CO	N						0		
7. Connecticut	CT	N						0		
8. Delaware	DE	N						0		
9. Dist. Columbia	DC	N						0		
10. Florida	FL	N						0		
11. Georgia	GA	N						0		
12. Hawaii	HI	N						0		
13. Idaho	ID	N						0		
14. Illinois	IL	N						0		
15. Indiana	IN	N						0		
16. Iowa	IA	N						0		
17. Kansas	KS	N						0		
18. Kentucky	KY	N						0		
19. Louisiana	LA	N						0		
20. Maine	ME	N						0		
21. Maryland	MD	N						0		
22. Massachusetts	MA	N						0		
23. Michigan	MI	L	23,183,116	38,976,656				62,159,772		
24. Minnesota	MN	N						0		
25. Mississippi	MS	N						0		
26. Missouri	MO	N						0		
27. Montana	MT	N						0		
28. Nebraska	NE	N						0		
29. Nevada	NV	N						0		
30. New Hampshire	NH	N						0		
31. New Jersey	NJ	N						0		
32. New Mexico	NM	N						0		
33. New York	NY	N						0		
34. North Carolina	NC	N						0		
35. North Dakota	ND	N						0		
36. Ohio	OH	N						0		
37. Oklahoma	OK	N						0		
38. Oregon	OR	N						0		
39. Pennsylvania	PA	N						0		
40. Rhode Island	RI	N						0		
41. South Carolina	SC	N						0		
42. South Dakota	SD	N						0		
43. Tennessee	TN	N						0		
44. Texas	TX	N						0		
45. Utah	UT	N						0		
46. Vermont	VT	N						0		
47. Virginia	VA	N						0		
48. Washington	WA	N						0		
49. West Virginia	WV	N						0		
50. Wisconsin	WI	N						0		
51. Wyoming	WY	N						0		
52. American Samoa	AS	N						0		
53. Guam	GU	N						0		
54. Puerto Rico	PR	N						0		
55. U.S. Virgin Islands	VI	N						0		
56. Northern Mariana Islands	MP	N						0		
57. Canada	CAN	N						0		
58. Aggregate other alien	OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	0	23,183,116	38,976,656	0	0	0	62,159,772	0	0
60. Reporting entity contributions for Employee Benefit Plans	XXX							0		
61. Total (Direct Business)	XXX	0	23,183,116	38,976,656	0	0	0	62,159,772	0	0
DETAILS OF WRITE-INS										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0	0	0	0

(a) Active Status Counts

L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG1 R – Registered – Non-domiciled RRGs0
E – Eligible – Reporting entities eligible or approved to write surplus lines in the state0 Q – Qualified – Qualified or accredited reinsurer0
N – None of the above – Not allowed to write business in the state56

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

PRHC-Ennis, L.P.
Principal-Needles, Inc.
Teche Regional Physician Practices, LLC
Valley View Physician Practices, LLC
Historic LifePoint Hospitals, LLC
LifePoint Hospitals Holdings, LLC
 AdvantagePoint Health Alliance, LLC
 AdvantagePoint Health Alliance – Blue Ridge, LLC
 AdvantagePoint Health Alliance – Columbus, LLC
 AdvantagePoint Health Alliance – Fauquier, LLC
 AdvantagePoint Health Alliance – Great Lakes, LLC
 AdvantagePoint Health Alliance – Laurel Highlands, LLC
 AdvantagePoint Health Alliance – National, LLC
 AdvantagePoint Health Alliance – Western North Carolina, LLC
Ashley Valley Medical Center, LLC
Ashley Valley Physician Practice, LLC
Castleview Medical, LLC
 Castleview Hospital, LLC
 Castleview Physician Practice, LLC
Dodge City Healthcare Partner, Inc.
Home Health Partner, LLC
HSC Credentialing Support Services, LLC
HSCGP, LLC
 LifePoint Corporate Services
 America Management Companies, LLC
 LifePoint Billing Services, LLC
Lander Valley Physician Practices, LLC
LifePoint CSLP, LLC
 LifePoint Corporate Services
LifePoint Holdings 2, LLC
 Acquisition Bell Hospital, LLC
 Upper Peninsula Health Plan, LLC
 Upper Peninsula Managed Care, LLC
AMG-Crockett, LLC
AMG-Livingston, LLC

The organizational chart shows the ultimate controlling persons of the reporting entity together with Apollo Global Management, LLC (“AGM”), the Apollo Operating Group, subsidiaries of the Apollo Operating Group and the Apollo Funds. The Apollo Operating Group refers to (i) the limited partnerships through which the ultimate controlling persons currently operate Apollo’s business and (ii) one or more limited partnerships formed for the purposes of, among other activities, holding certain of Apollo’s gains or losses on its principal investments in the Apollo Funds. The Apollo Funds refers to the funds, alternative asset companies and other entities that are managed by the Apollo Operating Group. The organizational chart does not include subsidiaries or alternative investment vehicles of the Apollo Funds, which are included in Part 1A. Pursuant to a disclaimer of affiliation filed with the domiciliary insurance department of the reporting entity, the organizational chart also does not include any (i) personal investment entities of AGM’s controlling individuals, Leon Black, Joshua Harris and Marc Rowan, or (ii) portfolio companies, other than the following portfolio companies that control a United States domiciled insurer (excluding a captive insurer of a portfolio company) and their respective subsidiaries: Aspen Insurance Holdings Limited; Athene Holding Ltd.; Catalina Holdings (Bermuda) Ltd.; LifePoint Health, Inc.; OneMain Holdings, Inc.; and VA Capital Company LLC.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

AMG-Logan, LLC
AMG-Southern Tennessee, LLC
AMG-Trinity, LLC
Andalusia Physician Practices, LLC
Andalusia Professional Services, LLC
Athens Physicians Practice, LLC
Athens Regional Medical Center, LLC
Athens Surgery Center Partner, LLC
Athens Surgery Center, LLC
Bell JV, LLC
Bell Physician Practices, Inc.
Clark Regional Physician Practices, LLC
Community Hospital of Andalusia, LLC
Community Medical, LLC
Community-Based Services, LLC
Crockett Hospital, LLC
Crockett PHO, LLC
Danville Diagnostic Imaging Center, LLC
Danville Physician Practices, LLC
Danville Regional Medical Center, LLC
Danville Regional Medical Center School of Health Professions, LLC
Gateway Health Alliance, Inc.
Memorial Hospital of Martinsville & Henry County Ambulatory Surgery Center, LLC
Two Rivers Physician Practices, LLC
DLP Partner, LLC
DLP Healthcare, LLC
DLP Marquette Holding Company, LLC
DLP Marquette Health Plan, LLC
Upper Peninsula Health Plan, LLC
Upper Peninsula Managed Care, LLC
DLP Marquette General Hospital, LLC
DLP Marquette JV, LLC
U.P. Imaging Management Services, LLC
DLP Marquette Physician Practices, Inc.
DLP Cardiac Partners, LLC
DLP Central NC Holding Company, LLC
DLP Central Carolina Medical Center, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- DLP Central Carolina Family Medicine, LLC
- DLP Central Carolina Medical Group, LLC
- DLP Central Carolina Physician Practices, LLC
- DLP Central NC JV, LLC
- DLP Frye Regional Medical Center, LLC
 - DLP Cardiology Associates, LLC
 - DLP Cardiology Physicians, LLC
 - DLP Frye Medical Group, LLC
 - DLP Frye Regional Physician Practices, LLC
 - DLP Graystone Family Practice Associates, LLC
 - DLP Hickory Family Practice Associates, LLC
 - Guardian Health Service, L.L.C.
- DLP Conemaugh Holding Company, LLC
 - DLP Conemaugh JV, LLC
 - DLP Conemaugh Memorial Medical Center, LLC
 - DLP Conemaugh Meyersdale Medical Center, LLC
 - DLP Conemaugh Miners Medical Center, LLC
 - DLP Conemaugh Physician Practices, LLC
- DLP Good Shepherd Holding Company, LLC
 - DLP Good Shepherd JV, LLC
 - DLP Gregg County Hospital, LLC
 - DLP Marshall Medical Center, LLC
- DLP Harris JV, LLC
- DLP Harris Regional Hospital, LLC
 - DLP Western Carolina Physician Practices, LLC
- DLP Haywood Regional Medical Center, LLC
- DLP Maria Parham Medical Center, LLC
 - DLP Maria Parham Physician Practices, LLC
- DLP Person Memorial Hospital, LLC
 - DLP Person Physician Practices, LLC
 - DLP Person Urgent Care, LLC
- DLP Rutherford Regional Health System, LLC
 - DLP Rutherford Physician Practices, LLC
- DLP Swain County Hospital, LLC
- DLP Twin County Holding Company, LLC
 - DLP Twin County Physician Practices, LLC
 - DLP Twin County Regional Healthcare, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

DLP Wilson Holding Company, LLC
DLP WilMed Nursing Care and Rehabilitation Center, LLC
DLP Wilson Medical Center, LLC
DLP Wilson Physician Practices, LLC
Duke Quality Network, Inc.
DLP Partner Central Carolina, LLC
DLP Partner Conemaugh, LLC
DLP Partner Frye, LLC
DLP Partner Marquette, LLC
DLP Partner MedWest, LLC
DLP Partner Twin County, LLC
DLP Partner Wilson Rutherford, LLC
Fauquier Partner, LLC
Fauquier Holding Company, LLC
Fauquier Diagnostic Imaging Center, LLC
Fauquier Long-Term Care, LLC
Fauquier Medical Center, LLC
Fauquier Physician Practices, LLC
Georgetown Rehabilitation, LLC
HDP Andalusia, LLC
HDP Georgetown, LLC
Hillside Hospital, LLC
HSC Manager, LLC
Kansas Healthcare Management Company, Inc.
Kansas Healthcare Management Services, LLC
Kansas Healthcare Management Services, LLC
Kentucky Hospital, LLC
Kentucky Medserv, LLC
Kentucky Physician Services, Inc.
LHSC, LLC
LifePoint Medical Group – Hillside, Inc.
AMG-Hillside, LLC
LifePoint of Kentucky, LLC
Bourbon Community Hospital, LLC
Bourbon Physician Practice, LLC
Buffalo Trace Radiation Oncology Associates, LLC
Fleming Medical Center, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Georgetown Community Hospital, LLC
HCK Logan Memorial, LLC
Kentucky MSO, LLC
Logan Memorial Hospital, LLC
Meadowview Physician Practice, LLC
Meadowview Regional Medical Center, LLC
PineLake Physician Practice, LLC
PineLake Regional Hospital, LLC
R. Kendall Brown Practice, LLC
Silechnik Practice, LLC
Woodford Hospital, LLC
Logan Physician Practice, LLC
LifePoint of Lake Cumberland, LLC
LCMC PET, LLC
Somerset Surgery Partner, LLC
Lake Cumberland Surgery Center, LP
Lake Cumberland Cardiology Associates, LLC
Lake Cumberland Physician Practices, LLC
LCMC MRI, LLC
Lake Cumberland Regional Hospital, LLC
Lake Cumberland Regional Physician Hospital Organization, LLC
LifePoint RC, Inc.
Livingston Regional Hospital, LLC
Logan Medical, LLC
Meadowview Rights, LLC
Nason Medical Center, LLC
Nason Physician Practices, LLC
Northwest Medical Center-Winfield, LLC
Norton Partner, LLC
The Regional Health Network of Kentucky and Southern Indiana, LLC
RHN Clark Memorial Hospital, LLC
RHN Clark Memorial Physician Practices, LLC
RHN Scott Memorial Hospital, LLC
RHN Scott Physician Practices, LLC
NWMC-Winfield Hospitalist Physicians, LLC
NWMC-Winfield Physician Practices, LLC
OmniPoint Surgical Associates, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Piedmont Partner, LLC
Portage Holding Company, LLC
 PH Copper Country Apothecaries, LLC
 Portage Hospital, LLC
 Portage Calumet MOB, LLC
 Portage JV, LLC
 Ontonagon Community Health Center, Inc.
 Upper Peninsula Health Plan, LLC
 Upper Peninsula Managed Care, LLC
 Portage Physician Practices, Inc.
Portage Partner, LLC
Professional Billing Services, LLC
Providence Holding Company, LLC
 Providence Group Practices, LLC
 Providence Group Practices II, LLC
 Providence Hospital, LLC
 PERS Legacy, LLC
 Providence Imaging Center, LLC
 Providence Professional Services, LLC
 Providence Physician Practices, LLC
River Parishes Hospital, LLC
River Parishes Partner, LLC
River Parishes Physician Practices, LLC
Riverview Medical Center, LLC
Riverview Physician Practices, LLC
Rockdale Clinically Integrated Medical Care Organization, LLC
Rockdale Hospital, LLC
Rockdale Physician Practices, LLC
Smith County Memorial Hospital, LLC
Southern Tennessee EMS, LLC
Southern Tennessee Medical Center, LLC
Southern Tennessee PHO, LLC
Spring View Hospital, LLC
Spring View Physician Practices, LLC
Springhill Medical Center, LLC
St. Francis Affiliated Services, LLC
St. Francis Health, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

St. Francis Physician Practices, LLC
Sumner Physician Practices, LLC
Sumner Real Estate Holdings, LLC
Sumner Regional Medical Center, LLC
SST Community Health, L.L.C.
THM Physician Practice, LLC
Trousdale Medical Center, LLC
Trousdale Physician Practices, LLC
Ville Platte Medical Center, LLC
Watertown Partner, LLC
Watertown Holding Company, LLC
Watertown JV, LLC
Watertown Network, LLC
Watertown Medical Center, LLC
Watertown Physician Practices, LLC
Wythe County Community Hospital, LLC
Wythe County Physician Practices, LLC
LifePoint NMTC, LLC
LifePoint PSO, LLC
Logan General Hospital, LLC
Logan Healthcare Partner, LLC
My HealthPoint, LLC
Poitras Practice, LLC
Riverton Memorial Hospital, LLC
Riverton Oncology Practice, LLC
Riverton Physician Practices, LLC
Shared Business Services, LLC
Western Plains Regional Hospital, LLC
Dodge City Healthcare Group, LLC
Western Plains Physician Practices, LLC
Capella Health Holdings, LLC
Capella Holdings, LLC
Auriga Insurance Group
Capella Healthcare, LLC
Capella DISCO, LLC
Carolina Pines Holdings, LLC
Hartsville Medical Group, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Hartsville, LLC
CMCH Holdings, LLC
Capital Medical Center Holdings, LLC
Capital Medical Center Specialty Physicians, LLC
Capital Medical Center Partner, LLC
Columbia Capital Medical Center Limited Partnership
Capital Medical Center Physicians, LLC
Capital Medical Center Specialty Physicians, LLC
Columbia Olympia Management, Inc.
Columbia Capital Medical Center Limited Partnership
Western Washington Healthcare, LLC
WPC Holdco, LLC
Columbia Capital Medical Center Limited Partnership
Capital Medical Center Physicians, LLC
Capital Medical Health Solutions, LLC
Kershaw Health Holdings, LLC
Kershaw Anesthesia, LLC
Kershaw Clinics, LLC
Kershaw Hospital, LLC
KershawHealth Ambulatory Surgery Center, LLC
KershawHealth Cancer Center, LLC
Lawton Holdings, LLC
Lawton Surgery Investment Company, LLC
Southwestern Medical Center, LLC
Southwestern Neurosurgery Physicians, LLC
Southwestern Physician Services, LLC
Southwestern Radiology Affiliates, LLC
Southwestern Surgical Affiliates, LLC
Lourdes Holdings, LLC
Lourdes Hospital, LLC
Lourdes Physician Services, LLC
Midlands HealthOne Network, LLC
Muskogee Holdings, LLC
Capella Holdings of Oklahoma, LLC
Muskogee Medical and Surgical Associates, LLC
Muskogee Physician Group, LLC
Muskogee Regional Medical Center, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Providence MRI Associates, L.L.C.
Providence Radiologic Services, L.C.
NPMC Holdings, LLC
Arkansas Healthcare Services, LLC
Hot Springs Imaging Center, LLC
Hot Springs National Park Hospital Holdings, LLC
National Park Real Property, LLC
National Park Cardiology Services, LLC
National Park Endoscopy Center, LLC
National Park Family Care, LLC
National Park GI Services, LLC
NPMC, LLC
Oregon Healthcorp, LLC
McMinnville Immediate Health Care, LLC
Willamette Valley Clinics, LLC
Willamette Valley Health Solutions, LLC
Willamette Valley Medical Center, LLC
RCCH-Northwest, LLC
RCCH-UW Medicine Healthcare Holdings, LLC
CCMC Holdco, LLC
RCCH PMDS, LLC
RCCH Trios Health Holdings, LLC
RCCH Trios Health, LLC
RCCH Trios Physicians, LLC
Saline County Hospital, LLC
St. Joseph Holdings, LLC
St. Joseph Hospital, LLC
SJRMC Interventional Radiology Services, LLC
St. Joseph Physician Services, LLC
St. Mary’s Holdings, LLC
Russellville Holdings, LLC
St. Mary’s Real Property, LLC
Saint Mary’s Primary Care Network, LLC
St. Mary’s Physician Services, LLC
Saline County Medical Center Joint Venture, LLC
Saline Clinics, LLC
Saline Hospital, LLC

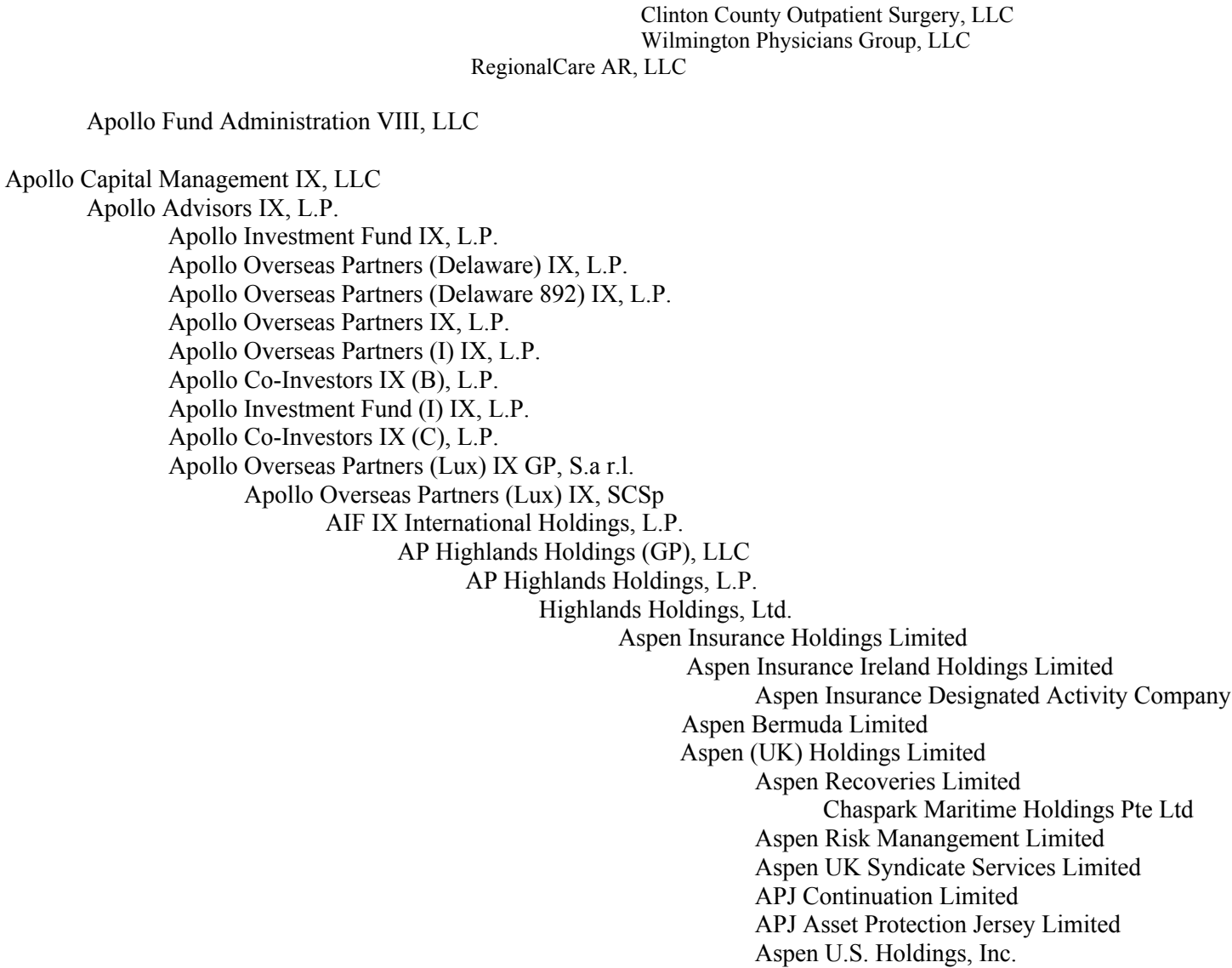
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

LifePoint Acquisition Corp.
LifePoint VA Holdings, Inc.
Clinch Professional Physician Services, LLC
Clinch Valley Medical Center, Inc.
Clinch Valley Physicians Associates, LLC
Clinch Valley Pulmonology, LLC
Clinch Valley Urology, LLC
Orthopedics of Southwest Virginia, LLC
LifePoint WV Holdings, Inc.
Raleigh General Hospital, LLC
Zone, Incorporated
West Virginia Management Services Organization, Inc.
RCHP, LLC
RCHP Management Company, Inc.
RegionalCare Hospital Partners, Inc.
Essent Healthcare, Inc.
EHCO, LLC
Essent Healthcare of Massachusetts, Inc.
Essent Haverhill Healthcare Group, Inc.
Essent DISCO, LLC
Essent Healthcare – Ayer, Inc.
Essent – Ayer Healthcare Group, Inc.
Essent Healthcare – Paris, Inc.
EHC PRMC G.P., LLC
Essent PRMC, L.P.
Texas & Oklahoma Preferred Provider System
EHC PRMC L.P., LLC
Essent PRMC, L.P.
Lamar County Clinical Services, Inc.
PRMC ER Group, Inc.
PRMC Healthcare Group, Inc.
Essent Healthcare – Pennsylvania, Inc.
Essent Healthcare – Waynesburg, LLC
SRMC Healthcare Group, LLC
Essent Realty, Inc.
Sharon Hospital Holding Company
Essent Healthcare of Connecticut, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Florence Physicians, LLC
ECM Health Group, LLC
ECM TVCC, LLC
North Alabama Neuroservices, LLC
North Alabama Ob-Gyn, LLC
Shoals Health Group, LLC
Shoals Obstetrics and Gynecology, LLC
North Alabama RCO Holding Company, LLC
My Care Alabama, Inc.
Northwest Alabama Cancer Care, LLC
RCHP-Clinical Trials, LLC
RCHP DISCO, LLC
RCHP-Florence, LLC
Florence-UCC, LLC
Med-Plus Muscle Shoals, LLC
Northwest Alabama Emergency Medicine, LLC
RCHP-McCurtain Memorial Holdings, LLC
RCHP-Montana, LLC
Billings-RCHP Healthcare Holdings, LLC
RCHP-Ottumwa Holdings, Inc.
Ottumwa Physicians, LLC
Ottumwa ER, LLC
Ottumwa Health Group, LLC
RCHP-Ottumwa, LLC
Collaborative Laboratory Services, L.L.C.
RRL Ottumwa, LLC
RCHP-Sierra Vista Holding, Inc.
RCHP-Sierra Vista, Inc.
RCHP-Sierra Vista Residents, LLC
RCHP-Sierra Vista Development, LLC
RCHP-Sierra Vista Medical Office Complex, LLC
RCHP-Sierra Vista Physicians Holdings, LLC
RCHP-Sierra Vista Properties, LLC
Sierra Vista Regional Health Center Medical Group, L.L.C.
RCHP-Wilmington, LLC
Clinton Memorial Residency, LLC
Wilmington Physicians Holdings, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



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Peregrine Re Ltd
Aspen Cat Fund Limited
Apollo Fund Administration IX, LLC
Apollo Advisors IX (EH), S.a r.l.

AGRE CMBS GP II LLC
2012 CMBS-I GP LLC
2012 CMBS-I Fund, L.P.
2012 CMBS-II GP LLC
2012 CMBS-II Fund, L.P.

2012 CMBS III GP LLC
2012 CMBS-III Fund, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

AGRE CMBS GP LLC

Apollo ANRP Capital Management, LLC
 Apollo ANRP Advisors, L.P.
 Apollo ANRP Fund Administration, LLC
 Apollo Natural Resources Partners, L.P.

Apollo ANRP Capital Management II, LLC
 Apollo ANRP Advisors II, L.P.
 Apollo Natural Resources Partners II, L.P.
 Apollo ANRP Co-Investors II (B), L.P.

Apollo Hybrid Value Capital Management, LLC
 Apollo Hybrid Value Advisors, L.P.
 Apollo HVF Co-Investors (B), L.P.
 Apollo HVF Co-Investors (C), L.P.
 Apollo Hybrid Value Overseas Partners (Lux) GP, S.a r.l.
 Apollo Hybrid Value Overseas Partners (Lux), SCSp
 Apollo Hybrid Value Overseas Partners (Delaware 892), L.P.
 Apollo Hybrid Value Overseas Partners, L.P.
 Apollo Hybrid Value Fund, L.P.
 AA Direct GP, LLC
 AA Direct, L.P.

Apollo Converse Co-Investors, LLC

VA Capital Company, LLC
 Venerable Holdings, Inc.
 Voya Insurance and Annuity Company
 Rocky Range, Inc.
 Directed Services, LLC
 VIAC Services Company

VA Capital Management CIV GP, LLC
 VA Capital Management Co-Investors, L.P.

Apollo ANRP Capital Management III, LLC
 Apollo ANRP Advisors III, L.P.
 Apollo Natural Resources Partners (Lux) III GP, S.a r.l.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Natural Resources Partners (Lux) III, SCSp
Apollo Natural Resources Partners III, L.P.
Apollo ANRP Advisors III (P2), L.P.
Apollo Natural Resources Partners (P2) III, L.P.

Apollo Principal Holdings III GP, Ltd.
Apollo Principal Holdings III, L.P.
Apollo Administration GP Ltd.
Stanhope Life Advisors, L.P.
APH III (Sub I), Ltd.
APH Holdings, L.P.
Apollo Advisors IX (EH-GP), LLC
Apollo Advisors IX (EH), L.P.
Harvest Holdings II GP, LLC
Harvest Holdings II (C), L.P.
Harvest Holdings II (V), L.P.
Karpos Investments II (C), L.P.
Karpos Investments II (V), L.P.

Apollo Infra Equity Advisors (IH-GP), LLC
Apollo Infra Equity Advisors (IH UT), L.P.
Apollo Infra Equity Advisors (IH), L.P.
Apollo Infra Equity International Fund, L.P.
Apollo Infra Equity Feeder Fund (TE Debt), L.P.

Apollo U.S. Real Estate Advisors III, L.P.
Apollo U.S. Real Estate Partners III (Offshore), L.P.
Apollo U.S. Real Estate Partners III (TE), L.P.
Apollo U.S. Real Estate Fund III, L.P.
Apollo FIG Carry Pool Aggregator GP, LLC
Apollo FIG Carry Pool Aggregator, L.P.
Apollo FIG Carry Pool Intermediate (FC), L.P.
Apollo FIG Carry Pool Intermediate, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Advisors V (EH), LLC
 Apollo Advisors V (EH Cayman), L.P.
Apollo Advisors VI (EH-GP), Ltd.
 Apollo Advisors VI (EH), L.P.
Apollo Advisors VII (EH-GP), Ltd.
 Apollo Advisors VII (EH), L.P.
Apollo Advisors VIII (EH-GP), Ltd.
 Apollo Advisors VIII (EH), L.P.
 Apollo Co-Investors VIII (EH-E), L.P.

Apollo ANRP Advisors (IH-GP), LLC
 Apollo ANRP Advisors (IH), L.P.

Financial Credit I Capital Management, LLC
 Financial Credit Investment Advisors I, L.P.
 Financial Credit Investment I, L.P.

Financial Credit II Capital Management, LLC
 Financial Credit Investment Advisors II, L.P.
 Financial Credit Investment II, L.P.

Financial Credit III Capital Management, LLC
 Financial Credit Investment Advisors III, L.P.
 Financial Credit Investment III, L.P.

Financial Credit IV Capital Management, LLC
 Financial Credit Investment Advisors IV, L.P.
 Financial Credit Investment IV, L.P.
 Financial Credit Investment (PF) IV, L.P.

AAA Guernsey Limited
 AP Alternative Assets, L.P.

AAA MIP Limited
 AAA Associates, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

AAA Investments (Co-Invest VI), L.P.
AAA Investments (Other), L.P.
AAA Investments, L.P.
 AAA Guarantor – Athene, L.P.
 Athene Holding Ltd.
 Athene Bermuda Employment Company, Ltd.
 Athene IP Holding, Ltd.
 Athene IP Development Ltd.
 Athene Life Re Ltd.
 Athene Co-Invest Reinsurance Affiliate 2A Ltd.
 A-A Onshore Fund, LLC
 Athene Securities, L.L.C.
 Athene USA Corporation
 Athene Annuity Re, Ltd.
 Athene Employee Services LLC
 ACM Trademarks, L.L.C.
 ARPH (Headquarters Building), LLC
 Athene Assignment Corporation
 Athene London Assignment Corporation
 A-A Onshore Fund, LLC
 Athene Noctua, LLC
 Athene Annuity & Life Assurance Company
 AADE RML, LLC
 P.L. Assigned Services, Inc.
 Athene Annuity and Life Company
 AAIA RML 3S26 MASSEY FORD, LLC
 AAIA RML, LLC
 AREI (Cedar Valley), LLC
 AREI (Interpark), LLC
 AREI (BLM-NV), LLC
 AREI (US Forest-WY), LLC
 AREI (Norwood-TX), LLC
 AREI (CPB), LLC
 Athene Securities, L.L.C.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Centralife Annuities Service, Inc.
Athene Annuity & Life Assurance Company of New York
Athene Life Insurance Company of New York
Structured Annuity Reinsurance Company
Athene Re USA IV, Inc.

Athora Holding Ltd.
Athora UK Services Ltd.
Athora Ireland Services Limited
Athora Bermuda Services Ltd.
Athora IP Company Ltd.
Athora MEP Ltd.
Athora Europe Holding (Bermuda) Ltd.
Athora Europe Holding Limited
Generali Belgium S.A./N.V.
Groupe GVA-BC Assurances S.A.
Dedale S.A./N.V.
Generali Real Estate Investment B.V.
Verzekeringskantoor Soenen S.A./N.V.
Webbroker S.A./N.V.

Athora Life Re Ltd.
Athora Ireland plc
Athora Lux Invest S.C.Sp
Athora Europe Investments DAC
Athora Lux Invest S.C.Sp
Athora Deutschland Verwaltungs GmbH
Athora Deutschland Holding GmbH & Co. KG
Athora Deutschland GmbH
Athora Pensionkasse AG
Athora Deutschland Service GmbH
Athora Lux Invest Management S.a.r.l
Athora Lux Invest SCSp
Athora Real Estate Finance GP, LLC
Athora Real Estate Finance, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Athora US Invest (AH), LLC
Athora HD Investors, L.P.
Athora Lebensversicherung AG
Athora Real Estate (Lux) S.C.Sp
Athora Real Estate (Lux) Services S.a.r.l.
Athora Real Estate S.a.r.l.

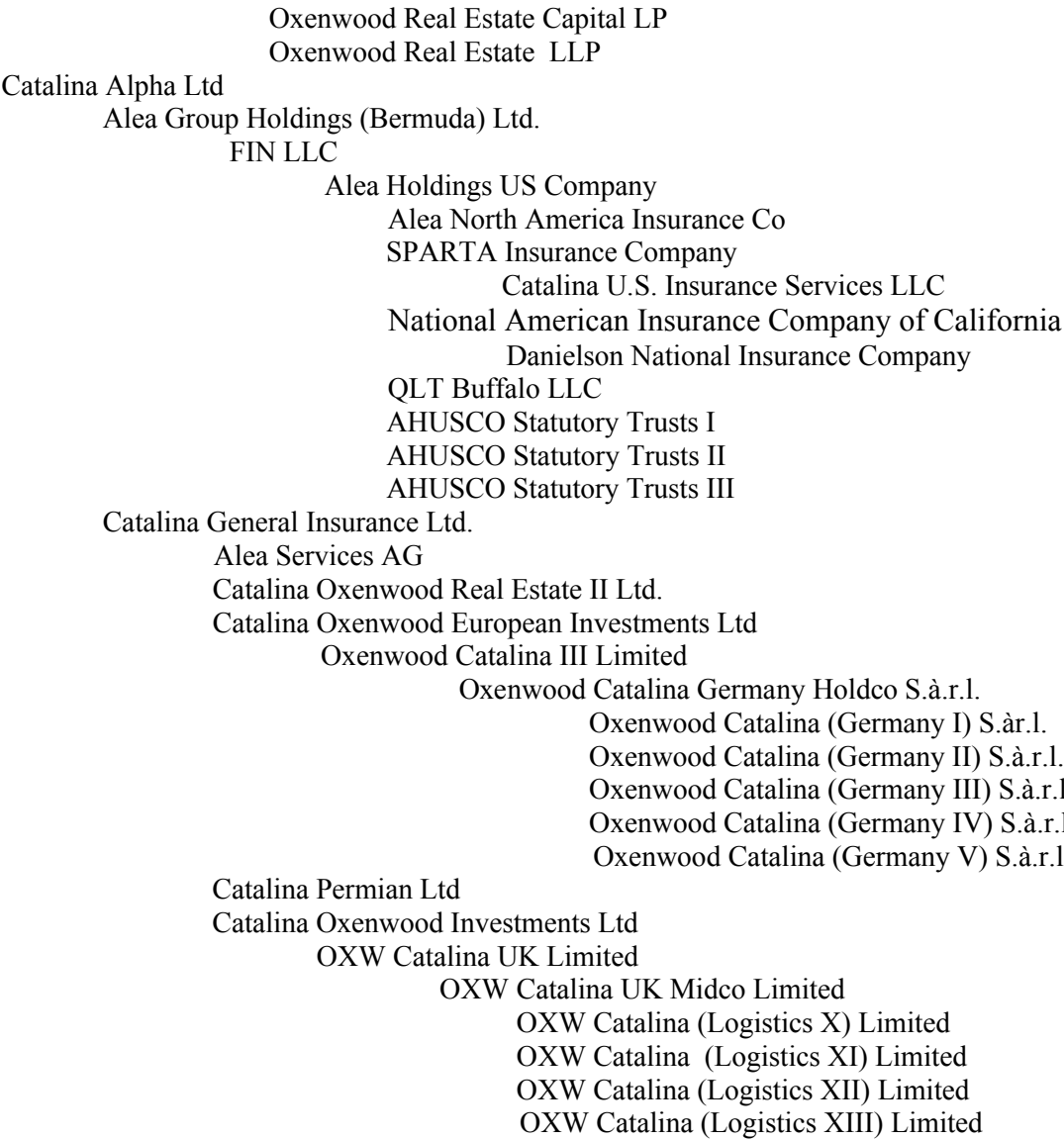
AAA Life Re Carry, L.P.

Apollo SPN Capital Management, LLC
Apollo SPN Advisors, L.P.
Apollo SPN Investments I, L.P.

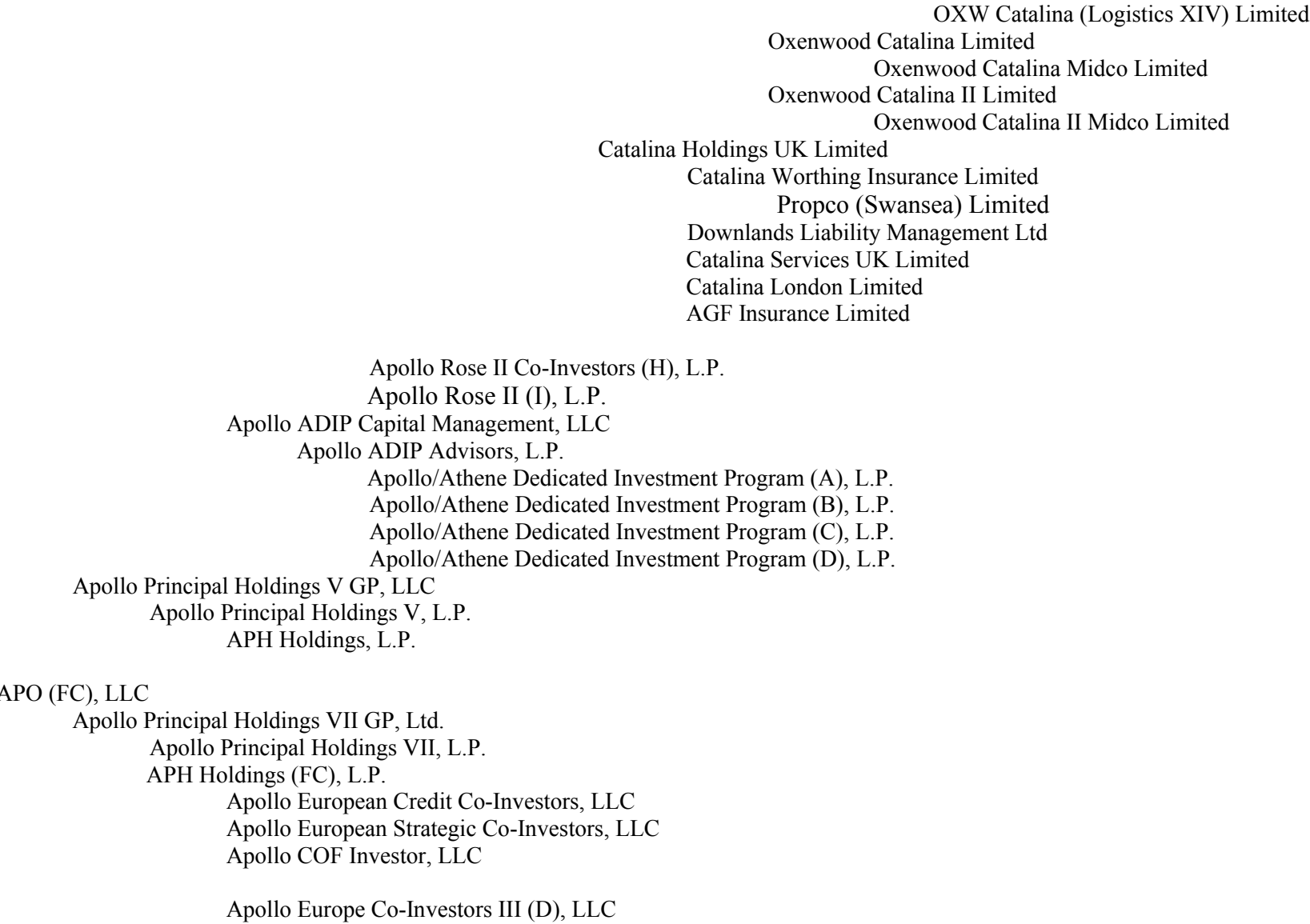
Delaware Rose GP L.L.C.
Apollo Rose GP, L.P.
Apollo Rose II (A), L.P.
Apollo Rose II (B), L.P.
Apollo Rose II (C), L.P.
Apollo Rose II (D), L.P.
Apollo Rose II (E), L.P.
Apollo Rose II (F), L.P.
Apollo Rose II (G), L.P.

Catalina Holdings (Bermuda) Ltd.
Avalon Sports Finance Limited
Catalina Echo Limited
Residential Loss Control Holdings LLC
Home Construction Management Inc
National Home Insurance Company
ProBuilders Specialty Insurance Company, RRG
Glacier Reinsurance AG
Catalina Foxtrot Holdings Limited
Catalina Insurance Ireland dac
Catalina ORE Ltd
Oxenwood Capital LP

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Energy Opportunity Co-Investors (D) LLC
Apollo Energy Yield Co-Investors (D) LLC

Redding Ridge Advisors LLC
Redding Ridge Holdings LP
Apollo Hercules Advisors GP, LLC
Apollo Hercules Advisors, L.P.
Apollo Hercules Partners, L.P.

Apollo A-N Credit Advisors (APO FC-GP), LLC
Apollo A-N Credit Advisors (APO FC Delaware), L.P.
Apollo A-N Credit Fund (Delaware), L.P.

Apollo Atlas Advisors (APO FC-GP), LLC
Apollo Atlas Advisors (APO FC), L.P.
Apollo Atlas Fund, LLC
Apollo Atlas Master Fund, LLC

ACC Advisors C, LLC
Apollo Advisors VI (APO FC-GP), LLC
Apollo Advisors VI (APO FC), L.P.

Apollo Advisors VII (APO FC-GP), LLC
Apollo Advisors VII (APO FC), L.P.

Apollo ANRP Advisors (APO FC-GP), LLC
Apollo ANRP Advisors (APO FC), L.P.

Apollo AGRE Prime Co-Investors (D), LLC
Apollo Oasis Advisors GP, LLC
Apollo Oasis Advisors, L.P.
Apollo Oasis Partners, L.P.

Apollo Capital Efficient Advisors, LLC
Apollo Hybrid Value Advisors (APO FC-GP), LLC
Apollo Hybrid Value Advisors (APO FC), L.P.

RRH Asset Management CIV GP, LLC
RRH Asset Management Co-Investors, L.P.
Apollo European MMPDF (B) Cayman GP, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Principal Holdings IX GP, Ltd.
 Apollo Principal Holdings IX, L.P.
 Apollo Asia Real Estate Co-Investors (FC-D), Ltd.
 AION Co-Investors (D) Ltd
 Apollo Structured Credit Recovery Co-Investors IV (D) LLC

 Apollo Asia Real Estate Advisors GP, LLC
 Apollo Asia Real Estate Advisors, L.P.
 Apollo Asia Real Estate Fund, L.P.

 Apollo Asia Real Estate AAC Advisors, L.P.
 Apollo Asia Real Estate AAC Fund, L.P.
 Apollo Asia Link Coinvestment Advisors, L.P.
 Apollo Asia Link Coinvestment Fund I, L.P.
 Apollo Asia Hurstville Co-Investment Advisors L.P.
 Apollo Asia Hurstville Co-Investment Fund L.P.

 Apollo Athora Advisors GP, LLC
 Apollo Athora Advisors, L.P.

 Apollo HD Advisors GP, LLC
 Apollo HD Advisors, L.P.
 Athene HD Investor, L.P.
 HD Finance Holdings Limited
 HD Bidco Limited
 Athora HD Investor, L.P.
 HD Finance Holdings Limited

 Apollo AGER Co-Investors Management, LLC
 Apollo AGER Co-Investors (A-UK), L.P.
 Apollo AGER Co-Investors (A), L.P.

 Apollo Emerging Markets Fixed Income Strategies Advisors GP, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo European Long Short Advisors GP, LLC

Apollo Alternative Credit Long Short Advisors, LLC
Apollo Alternative Credit Long Short Fund, L.P.

Apollo SPN Capital Management (APO FC- GP), LLC
Apollo SPN Advisors (APO FC), L.P.

Apollo Credit Advisors I, LLC
Apollo/Palmetto Loan Portfolio, L.P.

Apollo Credit Advisors II, LLC

Apollo Credit Advisors III, LLC
Apollo/Palmetto Short-Maturity Loan Portfolio, L.P.

Apollo APC Capital Management, LLC
Apollo APC Advisors, L.P.
A-A Asia Private Credit Fund (Feeder), L.P.
Apollo Asia Private Credit Fund, L.P.

Apollo Real Estate Europe (Lux) GP, S.a r.l.

Apollo IPF Advisors, LLC
India Property Fund, LP
India Property Fund Cayman, L.P.
India Property Fund, LLC
India Property Fund II LP
India Property Fund II Cayman LP
India Property Fund Cayman PS, L.P.
IPF II Co-Invest Cayman Master LP
IPF II Co-Invest BPS Cayman LP
IPF II Co-Invest Cayman LP
India Property Fund II Cayman BPS, L.P.

Apollo Tail Convexity Advisors, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

MMJV LLC
MMJV LP

APH Holdings (FC), L.P.
Apollo Europe Capital Management III, LLC
Apollo Europe Advisors III, L.P.
AP Investment Europe III, L.P.

Apollo Union Street Capital Management, LLC
Apollo Union Street Advisors, L.P.
Apollo Union Street Partners, L.P.

Apollo Energy Yield Advisors LLC

Apollo Energy Opportunity Advisors GP LLC
Apollo Energy Opportunity Advisors LP
Apollo Energy Opportunity Fund LP

Apollo Structured Credit Recovery Advisors III LLC

Apollo Accord Advisors GP III, LLC
Apollo Accord Advisors III, L.P.
Apollo Accord Master Fund III, L.P.
Apollo Accord Offshore Fund III, L.P.
Apollo Accord Fund III, L.P.

AP Kent Advisors GP, LLC
AP Kent Advisors, L.P.
AP Kent Credit Fund, L.P.
AP Kent Credit Master Fund, L.P.

Apollo EPF Capital Management, Limited

Apollo EPF II Capital Management, LLC
Apollo EPF Advisors II, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Apollo European Principal Finance Fund II (Dollar A), L.P.
- Apollo European Principal Finance Fund II (Euro A), L.P.
- Apollo European Principal Finance Fund II (Dollar B), L.P.
- Apollo European Principal Finance Fund II (Master Dollar B), L.P.
- Apollo European Principal Finance Fund II (Euro B), L.P.
- Apollo European Principal Finance Fund II (Master Euro B), L.P.
- Apollo EPF III Capital Management, LLC
 - Apollo EPF Advisors III, L.P.
 - Apollo European Principal Finance Fund III (Dollar A), L.P.
 - Apollo European Principal Finance Fund III (Master Dollar B), L.P.
 - Apollo European Principal Finance Fund III (Master Euro B), L.P.
 - Apollo European Principal Finance Fund III (Dollar B), L.P.
 - Apollo European Principal Finance Fund III (Euro B), L.P.
- Apollo Tower Credit Advisors, LLC
 - Apollo Tower Credit Fund, L.P.
- ANRP II GenPar, Ltd.
- Apollo Kings Alley Credit Capital Management, LLC
 - Apollo Kings Alley Credit Advisors, L.P.
 - Apollo Kings Alley Credit Fund, L.P.
- Apollo Accord Advisors, LLC
 - Apollo Accord Fund, L.P.
 - Apollo Accord Master Fund, L.P.
 - Apollo Accord Offshore Fund, L.P.
- Apollo Credit Opportunity Advisors III (APO FC) GP LLC
 - Apollo Credit Opportunity Advisors III (APO FC) LP
 - Apollo Credit Opportunity Fund (Offshore) III LP
- Apollo SB Advisors, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Apollo COF I Capital Management, LLC
 - Apollo Credit Opportunity Advisors I, L.P.
 - Apollo Credit Opportunity Fund I, L.P.
 - Apollo Credit Opportunity CM Executive Carry I, L.P.
- Apollo COF II Capital Management, LLC
 - Apollo Credit Opportunity Advisors II, L.P.
 - Apollo Credit Opportunity Fund II, L.P.
 - Apollo Credit Opportunity CM Executive Carry II, L.P.
- Apollo European Credit Advisors GP, LLC
 - Apollo European Credit Advisors, L.P.
 - Apollo European Credit Master Fund, L.P.
 - Apollo European Credit Fund, L.P.
 - Apollo European Credit Fund (Offshore), L.P.
- Apollo European Strategic Advisors GP, LLC
 - Apollo European Strategic Advisors, L.P.
 - Apollo European Strategic Investments, L.P.
 - Apollo European Strategic Investments (Subsidiary), Ltd.
 - Apollo European Strategic Investments (Holdings), L.P.
- Apollo European Senior Debt Advisors, LLC
 - A-A European Senior Debt Fund, L.P.
- Apollo AION Capital Partners GP, LLC
 - Apollo AION Capital Partners, L.P.
- Apollo Structured Credit Recovery Advisors IV LLC
 - Apollo Structured Credit Recovery Fund IV LP
 - Apollo Structured Credit Recovery Master Fund IV LP
 - Apollo Offshore Structured Credit Recovery Fund IV Ltd.
- Apollo Delos Investments Advisors, S.a r.l.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Accord Advisors GP II, LLC
Apollo Accord Advisors II, L.P.
Apollo Accord Master Fund II, L.P.
Apollo Accord Offshore Fund II, L.P.
Apollo Accord Fund II, L.P.

APO (FC II), LLC
Apollo Principal Holdings X GP, Ltd.
Apollo Principal Holdings X, L.P.
Apollo MidCap Holdings (Cayman) GP, Ltd.
Apollo Midcap Holdings (Cayman), L.P.

APO UK (FC), Limited
Apollo Principal Holdings XI, LLC
AAME UK CM, LLC\
Apollo Investment Management Europe LLP
Apollo Asset Management Europe LLP
Apollo Asset Management Europe PC LLP

APO (FC III), LLC
Apollo Principal Holdings XII GP, LLC
Apollo Principal Holdings XII, L.P.
APH Holdings (FC), L.P.
Apollo ST Advisors, LLC
Apollo Revolver Capital Management, LLC
Apollo Revolver Advisors, L.P.
Apollo Revolver Fund, L.P.
Apollo Revolver Feeder Fund, L.P

APO Corp Holdings (2P DC), Inc.
APO Corp (Holdings Parent), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

APO Corp.
 Apollo Principal Holdings II GP, LLC
 Apollo Principal Holdings II, L.P.
 APH Holdings (DC), L.P.
 Apollo Structured Credit Recovery Advisors III (APO DC) LLC

 Apollo Lincoln Fixed Income Advisors (APO DC-GP), LLC
 Apollo Lincoln Fixed Income Advisors (APO DC), L.P.
 Apollo Lincoln Fixed Income Fund, L.P.

 Apollo Lincoln Private Credit Advisors (APO DC-GP), LLC
 Apollo Lincoln Private Credit Advisors (APO DC), L.P.
 Apollo Lincoln Private Credit Fund, L.P.

 AAA Associates (Co-Invest VII GP), Ltd.
 AAA Associates (Co-Invest VII), L.P.
 AAA Investments (Co-Invest VII), L.P.

 Apollo Energy Opportunity Advisors (APO DC) GP LLC
 Apollo Energy Opportunity Advisors (APO DC) LP

 Apollo SPN Capital Management (APO DC-GP), LLC
 Apollo SPN Advisors (APO DC), L.P.

 Apollo Franklin Advisors (APO DC-GP), LLC
 Apollo Franklin Advisors (APO DC), L.P.
 Apollo Franklin Partnership, L.P.

 Apollo Credit Short Opportunities Advisors, LLC
 Apollo Tower Credit Advisors (DC-GP), LLC
 Apollo Tower Credit Advisors (DC), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo SVF Capital Management, LLC
 Apollo SVF Advisors, L.P.
 Apollo SVF Administration, LLC

 Apollo Strategic Value Offshore Fund, Ltd.
 Apollo Strategic Value Fund, L.P.

Apollo Value Capital Management, LLC
 Apollo Value Advisors, L.P.
 Apollo Value Administration, LLC

 Apollo Value Investment Offshore Fund, Ltd.
 Apollo Value Investment Fund, L.P.

ACE Credit Advisors GP, LLC
 ACE Credit Advisors, LP
 ACE Credit Fund, LP

Apollo Global Carry Pool GP, LLC
 Apollo Global Carry Pool Aggregator, L.P.
 Apollo Global Carry Pool Aggregator II, L.P.
 Apollo Global Carry Pool Intermediate, L.P.
 Apollo Global Carry Pool Intermediate (DC), L.P.
 Apollo Global Carry Pool Intermediate (FC), L.P.

Apollo SOMA Capital Management, LLC
 Apollo SOMA Advisors, L.P.
 Apollo Special Opportunities Managed Account, L.P.

Apollo Credit Liquidity Capital Management, LLC
 Apollo Credit Liquidity Advisors, L.P.
 Apollo Credit Liquidity Fund, L.P.
 Apollo Credit Liquidity CM Executive Carry, L.P.

Apollo Credit Income Advisors LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Apollo Union Street SPV Advisors, LLC
- Apollo Kings Alley Credit Advisors (DC-GP), LLC
 - Apollo Kings Alley Credit Advisors (DC), L.P.,
- Apollo Credit Opportunity Advisors III GP LLC
 - Apollo Credit Opportunity Advisors III LP
 - Apollo Credit Opportunity Fund III LP
- Apollo Centre Street Advisors (APO DC-GP), LLC
 - Apollo Centre Street Advisors (APO DC), L.P.
 - Apollo Centre Street Partnership, L.P.
- Apollo ST CLO Holdings GP, LLC
 - Apollo ST CLO Holdings, L.P.
- ST Holdings GP, LLC
 - Apollo ST Credit Strategies GP LLC
 - Apollo Credit Strategies Fund LP
 - Apollo ST Credit Partners GP LLC
 - Apollo Credit Fund LP
 - Apollo ST Structured Credit Recovery Partners II GP LLC
 - Apollo Structured Credit Recovery Fund II LP
- Apollo Incubator Advisors, LLC
 - Apollo Capital Spectrum Fund, L.P.
- Apollo Asia Capital Management, LLC
 - Apollo Asia Advisors, L.P.
 - Apollo Asia Administration, LLC
 - Apollo Asia Opportunity Master Fund, L.P.
 - Apollo Asia Opportunity Fund, L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Principal Holdings IV GP, Ltd.
 Apollo Principal Holdings IV, L.P.
 Apollo Palmetto Management, LLC
 Apollo Palmetto Advisors, L.P.
 Apollo Palmetto Strategic Partnership, L.P.
 Palmetto Athene Holdings (Cayman), L.P.
 Apollo Palmetto Athene Partnerhip, L.P.
 Athene Holding Ltd.
 Apollo Palmetto Athene Advisors, L.P.

Apollo Thunder Co-Investors (D), LLC
AES Co-Investors II, LLC

APH Holdings (DC), L.P.
 Apollo EPF Advisors, L.P.
 Apollo European Principal Finance Fund (Feeder), L.P.
 Apollo European Principal Finance Fund, L.P.

 Apollo EPF Administration, Limited
 Apollo EPF Co-Investors (A), L.P.
 Apollo EPF Co-Investors (B), L.P.

Apollo/Cavenham EMA Capital Management II, LLC
 Apollo/Cavenham EMA Advisors II, L.P.
 Apollo/Cavenham European Managed Account II, L.P.

Athene Momentum Investment Advisors GP, LLC
 Athene Momentum Investment Advisors, L.P.
 Athene Momentum Investor, L.P.

Apollo Multi-Credit Fund GP (Lux) S.a r.l.
 Apollo Multi-Credit Fund (Lux) SCS SICAV-RAIF

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Emerging Markets Debt Advisors GP LLC
 Apollo Emerging Markets Debt Advisors LP
 Apollo Emerging Markets Debt Fund LP
 Apollo Emerging Markets Debt Master Fund LP

Apollo Advisors VIII (APO DC-GP), LLC
 Apollo Advisors VIII (APO DC), L.P.

Apollo ANRP Advisors II (APO DC-GP), LLC
 Apollo ANRP Advisors II (APO DC), L.P.

Apollo Total Return Advisors GP, LLC
 Apollo Total Return Advisors, L.P.
 Apollo Total Return (Onshore), L.P.
 Apollo Total Return Fund (Exempt) LP
 Apollo Total Return Master Fund LP

Apollo Total Return Enhanced Advisors GP LLC
 Apollo Total Return Enhanced Advisors LP
 Apollo Total Return Fund Enhanced (Onshore) LP
 Apollo Total Return Fund Enhanced (Exempt) LP
 Apollo Total Return Master Fund Enhanced LP

Apollo Tactical Value SPN Capital Management (APO DC-GP), LLC
 Apollo Tactical Value SPN Advisors (APO DC), L.P.
 Apollo Tactical Value SPN Investments, L.P.

Apollo Moultrie Capital Management, LLC
 Apollo Moultrie Credit Fund Advisors, L.P.
 Apollo Moultrie Credit Fund, L.P.

Apollo Total Return ERISA Advisors GP LLC
 Apollo Total Return ERISA Advisors, L.P.
 Apollo Total Return ERISA Fund LP

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Europe Capital Management, Ltd.
Apollo Europe Advisors, L.P.
Apollo Investment Europe II, L.P.

Apollo SK Strategic Advisors, LLC
Apollo SK Strategic Advisors, L.P.
Apollo SK Strategic Investments, L.P.

Apollo Zeus Strategic Advisors, LLC
Apollo Zeus Strategic Advisors, L.P.
Apollo Zeus Strategic Investments, L.P.

AES Advisors II GP, LLC
AES Advisors II, L.P.
AESI II, L.P.

Apollo Thunder Advisors GP, Ltd.
Apollo Thunder Advisors, L.P.
Apollo Thunder Partners, L.P.

Apollo Special Situations Advisors (APO DC-GP), LLC
Apollo Special Situations Advisors (APO DC), L.P.

Apollo Asia Real Estate Advisors (APO DC-GP), LLC
Apollo Asia Real Estate Advisors (APO DC), L.P.

Apollo AION Capital Partners (APO DC-GP), LLC
Apollo AION Capital Partners (APO DC), L.P.

Apollo EPF II Capital Management (APO DC-GP), LLC
Apollo EPF Advisors II (APO DC), L.P.
EPF II Team Carry Plan (APO DC), L.P.
Lapithus EPF II Team Carry Plan (APO DC), L.P.

Apollo EPF III Capital Management (APO DC-GP), LLC
Apollo EPF Advisors III (APO DC), L.P.

RWNIH-ALL Advisors, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Principal Holdings VI GP, LLC
 Apollo Principal Holdings VI, L.P.
 A/A Investor I, LLC
 Apollo Credit Liquidity Investor, LLC
 Apollo Credit Opportunity Co-Investors III (D) LLC
 Apollo Structured Credit Recovery Co-Investors III (D) LLC
 Apollo Advisors VII (APO DC-GP), LLC
 Apollo ANRP Advisors (APO DC-GP), LLC
 Apollo ANRP Advisors (APO DC), L.P.
 Apollo Energy Opportunity Co-Investors (DC-D) LLC
 ACC Advisors D, LLC
 APH Holdings (DC), L.P.
 Apollo Hybrid Value Advisors (APO DC-GP), LLC
 Apollo Hybrid Value Advisors (APO DC), L.P.
 Apollo Athene Strategic Partnership Advisors, LLC
 Apollo Athene Strategic Partnership, L.P.
 Apollo Infra Equity Advisors (APO DC-GP), LLC
 Apollo Infra Equity Advisors (APO DC), L.P.
 Apollo Infra Equity US Fund, L.P.
 Apollo Infra Equity Feeder Fund (Non-US), L.P.
 Apollo Infra Equity Feeder Fund (TE), L.P.
 Apollo Infra Equity Feeder Fund (TEUP), L.P.
 Apollo Infra Equity Advisors (APO DC UT), L.P.
 Apollo ANRP Advisors III (P1 APO DC-GP), LLC
 Apollo ANRP Advisors III (P1 APO DC), L.P.
 Apollo Natural Resources Partners (P1) III, L.P.

 Apollo Credit Short Opportunities Co-Investors (D), LLC

 Apollo Emerging Markets Debt Co-Investors (D) GP LLC
 Apollo Emerging Markets Debt Co-Investors (D) LP
 Apollo Credit Income Co-Investors (D) LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo U.S. Real Estate Advisors GP II, LLC
 Apollo U.S. Real Estate Advisors II, L.P.
 Apollo U.S. Real Estate Fund II, L.P.

 Apollo U.S. Real Estate Partners II (TE) L.P.
AGRE U.S. Senior Living Advisors, L.P.
 Bishop Senior Living Coinvest L.P.
 AGRE MHC Coinvest L.P.

Apollo USREF Co-Investors II (B), L.P.
AGRE U.S. Real Estate Advisors GP, LLC
 AGRE U.S. Real Estate Advisors, L.P.
 AGRE U.S. Real Estate Fund, L.P.

AGRE GP Holdings, LLC
 CPI 2005 Asia Pacific Carried Interest Program
 CPI 2005 Global Carried Interest Program, L.P.
 CPI Capital Partners Asia Pacific MLP II Ltd.
 CPI Capital Partners Asia Pacific GP, Ltd.
 CPI Asia G-Fdr General Partner GmbH
 CPI Capital Partners Asia Pacific, L.P.
 CPI Capital Partners Asia Pacific (Cayman), L.P.
 CPI Capital Partners Asia Pacific (Delaware), L.P.
 CPI CP Asia TE, L.P.
 CPI 2005 European Carried Interest Program, L.P.
 CPI European Carried Interest, L.P.
 CPI CCP EU-T Scots LP
 CPI European Fund GP LLC
 CPI Capital Partners Europe, L.P.
 CPI Capital Partners Europe (NFR), L.P.
 CPI Capital Partners Europe (Delaware), L.P.
 CPI Capital Partners Europe GP Ltd.
 CPI Capital Partners Europe (Cayman), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

CPI 2005 North America Carried Interest Program, L.P.
CPI NA GP LLC
CPI NA WT Fund GP LP
CPI NA Fund GP LP

Apollo Principal Holdings VIII GP, Ltd.
Apollo Principal Holdings VIII, L.P.

Apollo Zeus Strategic Co-Investors (DC-D), LLC
Apollo SK Strategic Co-Investors (DC-D), LLC
Apollo Total Return Co-Investors (D) GP LLC
Apollo Total Return Co-Investors (D) LP

APH Holdings (DC), L.P.

APH HFA Holdings GP, Ltd.
APH HFA Holdings, L.P.

AGRE Asia Pacific Real Estate Advisors GP, Ltd.
AGRE Asia Pacific Real Estate Advisors, L.P.

AMH Holdings GP, Ltd.¹
AMH Holdings (Cayman), L.P.
Apollo Management Holdings GP, LLC
Apollo Management Holdings, L.P.

Apollo Management GP, LLC
Apollo Management, L.P.

¹ Funds or CLOs listed below AMH Holdings GP, Ltd. are controlled pursuant to management contracts.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

AIF III Management, LLC
 Apollo Management III, L.P.
Apollo Management IV, L.P.
AIF V Management, LLC
 Apollo Management V, L.P.
AIF VI Management, LLC
 Apollo Management VI, L.P.
 Apollo Management (UK) VI, LLC
AIF VII Management, LLC
 Apollo Management VII, L.P.
 Apollo Management (AOP) VII, LLC
AIF VIII Management, LLC
 Apollo Management VIII, L.P.
Apollo Special Situations Management GP, LLC
 Apollo Special Situations Management, L.P.
AIF IX Management, LLC
 Apollo Management IX, L.P.
 Apollo Management (AOP) IX, LLC
Apollo HD Management GP, LLC
 Apollo HD Management, L.P.
Apollo Hybrid Value Management GP, LLC
 Apollo Hybrid Value Management, L.P.
Apollo ANRP Management III, LLC
Apollo CERPI Management LLC

Apollo Infra Equity Management GP, LLC
 Apollo Infra Equity Management L.P.

AAA Holdings GP Limited
 AAA Holdings, L.P.

Apollo Global Securities, LLC
Apollo Global Funding, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

AP TSL Funding, LLC

Apollo Capital Management GP, LLC

 Apollo Capital Management, L.P.

 Apollo Accord Management, LLC

 Apollo Accord Management II, LLC

 Apollo Accord Management III, LLC

 Apollo Atlas Management, LLC

 ACF Europe Management, LLC

 Apollo Credit Funds ICAV

 Apollo Credit Funds II ICAV

 Apollo Total Return Enhanced Management LLC

 Apollo Total Return Fund Enhanced (Offshore) Ltd.

 Apollo Total Return Management LLC

 Apollo Total Return Fund (Offshore) Ltd.

 Apollo Life Asset Ltd.

 AAM GP Ltd.

 AAM Holdings, L.P.

 Athene Asset Management, LLC

Apollo Longevity, LLC

 Apollo Credit Opportunity Management, LLC

 Apollo Credit Opportunity Management III LLC

 Apollo Credit Income Management, LLC

 Apollo Energy Yield Management LLC

 Apollo Energy Opportunity Management LLC

 Apollo Offshore Energy Opportunity Fund Ltd.

 Apollo Emerging Markets Debt Management LLC

 Apollo Emerging Markets Debt Fund Ltd

 Apollo Lincoln Fixed Income Management, LLC

 Financial Credit Investment I Manager, LLC

 Financial Credit Investment II Manager, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Apollo Palmetto Athene Management, LLC
- Apollo Credit Short Opportunities Management, LLC
- Apollo Incubator Management, LLC
- Apollo Emerging Markets, LLC
- Apollo Structured Credit Recovery Management III LLC
- Apollo Zeus Strategic Management, LLC
- Apollo Maritime Management, LLC
- Apollo Kings Alley Credit Fund Management, LLC
- Apollo Tower Credit Management, LLC
- ATCF HoldCo S.à r.l.
- Apollo Thunder Management, LLC
- Apollo A-N Credit Management, LLC
- Apollo Emerging Markets Fixed Income Strategies Management, LLC
- Apollo Lincoln Private Credit Management, LLC
- Apollo Capital Credit Management, LLC
- Apollo BSL Management, LLC
- Apollo Credit Management, LLC
- Apollo Senior Floating Rate Fund Inc.
- Apollo Tactical Income Fund Inc.
- Apollo Credit Management (Senior Loans), LLC
- Apollo Credit Management (Senior Loans) II, LLC
- Apollo AF Loan Trust 2012
- Apollo Credit Management (CLO), LLC
- ALME Loan Funding 2013-1 Limited
- ALM V, Ltd.
- ALM VI, Ltd.
- ALM VII, Ltd.
- ALM VII (R), Ltd.
- ALM VII (R)-2, Ltd.
- ALM VIII, Ltd.
- ALM XI, Ltd.
- ALM XII, Ltd.
- ALM XVI, Ltd.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

ALM XVII, Ltd.
ALM XVIII, Ltd.
ALM XIX, Ltd.
ALM XX, Ltd.

Apollo Credit Management (European Senior Debt), LLC
Apollo European Senior Debt Management, LLC

ST Management Holdings, LLC
 Apollo ST Debt Advisors LLC
 Stone Tower CDO II Ltd.
 Stone Tower CDO III Ltd.
 Stone Tower CDO (Delaware) Corp.
 Stone Tower CLO V Ltd.
 Stone Tower CLO VI Ltd.
 Stone Tower CLO VII Ltd.
 Broderick CDO 2 Ltd
 Broderick CDO 2 Corp
 Broderick CDO 3 Ltd
 Broderick CDO 3 Corp
 Longshore CDO Funding 2007-3 Ltd.
 Longshore CDO Funding 2007-3 LLC
 Whitehawk CDO Funding, Ltd.
 Whitehawk CDO Funding LLC
 Witherspoon Funding, Ltd.
 Cornerstone CLO, Ltd.
 Cornerstone CLO Corp.
 Integral Funding Ltd.
 Rampart CLO 2006-I Ltd.
 Rampart CLO 2006-I Corp.
 Rampart CLO 2007 Ltd.
 Apollo ST Capital LLC
 Apollo ST Operating LP
 Apollo ST Fund Management, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

2011 Stone Tower HY Cayman Fund
Stone Tower Loan Trust 2010
Stone Tower Loan Trust 2011
Stone Tower Credit Solutions Fund Ltd.
Apollo Credit Strategies Master Fund Ltd.
Apollo Offshore Credit Strategies Fund Ltd.
Stone Tower Offshore Structured Credit Recovery Fund Ltd.
Stone Tower Europe LLC
Stone Tower Offshore Ltd.
Apollo Credit Master Fund Ltd.
 Apollo Credit Funding I Ltd.
 Apollo Credit Funding III Ltd.
 Apollo Credit Funding IV Ltd.
 Apollo Credit Funding V Ltd.
 Apollo Credit Funding VI Ltd.
Apollo Offshore Credit Fund Ltd.

Apollo European Strategic Management, LLC
 Apollo European Strategic Management, L.P.
Apollo SPN Management, LLC
ARM Manager, LLC
 Apollo Residential Mortgage, Inc.
Apollo Franklin Management, LLC
Apollo Centre Street Management, LLC
Apollo SK Strategic Management, LLC
GSAM Apollo Holdings, LLC
 Gulf Stream Asset Management LLC
 Gulf Stream – Compass CLO 2007, Ltd.
 Gulf Stream – Compass CLO 2007, Inc.
 Gulf Stream – Rashinban CLO 2006-I, Ltd.
 Gulf Stream – Rashinban CLO 2006-I, LLC
 Gulf Stream – Sextant CLO 2007-1, Ltd.
 Gulf Stream – Sextant CLO 2007-1, Inc.
 Neptune Finance CSS, Ltd.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Neptune Finance CSS, Inc.
Apollo European Credit Management, LLC
 Apollo European Credit Management, L.P.
ACC Management, LLC
 Apollo Investment Management, L.P.
 AIM Pool Investors, L.P.
 Apollo Investment Administration, LLC
 Apollo Investment Corporation
Apollo SVF Management GP, LLC
 Apollo SVF Management, L.P.
Apollo Value Management GP, LLC
 Apollo Value Management, L.P.
Apollo Asia Management GP, LLC
 Apollo Asia Management, L.P.
 Apollo Management Singapore Pte. Ltd.

AEM GP, LLC
 Apollo Europe Management, L.P.
Apollo EPF Management GP, LLC
 Apollo EPF Management, L.P.
Apollo EPF Management II GP, LLC
 Apollo EPF Management II, L.P.
Apollo EPF Management III, LLC
Apollo Credit Liquidity Management GP, LLC
 Apollo Credit Liquidity Management, L.P.
Apollo APC Management GP, LLC
 Apollo APC Management, L.P.
Apollo European Credit Management, LLC
 Apollo European Credit Management, L.P.

Apollo India Credit Opportunity Management, LLC
 AION Capital Management Limited
 AION Capital Partners Limited
 AION Feeder I Ltd.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

AION Feeder II Ltd.
AION Feeder III Ltd.
AION Feeder IV Ltd.
AION Carried Interest Trust
AION Co-Investors (A) Ltd
AION Co-Investors (C) Ltd

Apollo/Cavenham EMA Management II, LLC
Apollo TRF MP Management, LLC
Apollo TRF CM Management, LLC
Apollo KP Management, LLC
Apollo Structured Credit Recovery Management IV LLC
Apollo Delos Investments Management, LLC
Apollo Oasis Management, LLC
ACE Credit Management, LLC
Apollo AJB Management, LLC
Financial Credit Investment IV Manager, LLC
Apollo Tail Convexity Management, LLC
Apollo European Middle Market Private Debt Management, LLC
Apollo ADIP Management, LLC
Apollo Revolver Management, LLC
 Apollo Revolver Management, L.P.
AP Kent Management, LLC

Apollo Commodities Management GP, LLC
 Apollo Commodities Management, L.P.
 Apollo Royalties Management, LLC

Apollo International Management GP, LLC
 Apollo International Management, L.P.
 Apollo Alternative Assets GP Limited
 Apollo Alternative Assets, L.P.
 AMI (Holdings), LLC
 AMI (Luxembourg) SARL

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

- Apollo Management Asia Pacific Ltd.
 - August Global Management, LLC
 - Apollo Investment Consulting Europe Ltd.
 - Apollo Management International LLP
 - ALME Loan Funding II Limited
 - ALME Loan Funding III Limited
 - ALME Loan Funding IV B.V.
 - Apollo Management Advisors GmbH
 - Apollo Advisors (Mauritius) Ltd.
 - AGM India Advisors Private Limited
 - Apollo Investment Consulting, LLC
 - Apollo Management Hong Kong Limited
 - Apollo Management Japan Limited
 - Apollo Investment Management Europe (Luxembourg) S.a r.l.
 - Apollo International Management (Canada) ULC
 - Apollo Credit Management International Limited
 - AIM (P2) Anguilla, LLC
 - Apollo India Services LLP
 - Apollo International Management (India), LLC
- Apollo Global Real Estate Management GP, LLC
 - Apollo Global Real Estate Management, L.P.
 - Apollo Asia Real Estate Management LLC
 - AGRE Hong Kong Management, LLC
 - Venator Investment Management Consulting (Shanghai) Limited
 - Venator Real Estate Capital Partners (Hong Kong) Limited
 - ACREFI Management, LLC
 - Apollo Commercial Real Estate Finance, Inc.
 - AGRE Asia Pacific Management, LLC
 - AGRE Asia Pacific Legacy Management, LLC
 - AGRE NA Management, LLC
 - AGRE NA Legacy Management, LLC
 - AGRE Europe Management, LLC
 - AGRE Europe Legacy Management, LLC

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

London Prime Apartments Guernsey Holdings Limited
AGRE-E Legacy Management, LLC
AGRE-E2 Legacy Management, LLC
AGRE CMBS Management LLC
AGRE CMBS Management II LLC
2012 CMBS-I Management LLC
2012 CMBS-II Management LLC
2012 CMBS-III Management LLC
AGRE-CRE Debt Manager, LLC
AGRE Debt Fund I GP, Ltd.
AGRE Debt Fund I, L.P.
Apollo Net Lease Co., LLC
NNN Investor 1, L.P.
AGRE U.S. Senior Living Management, LLC
Apollo NA Management III, LLC
Apollo IPF Real Estate Management, LLC

Non-AGM Consolidated Affiliated Funds and Management Entities

Apollo Capital Management I, Inc.
Apollo Advisors, L.P.
Apollo Fund Administration Ltd.
Apollo Investment Fund, L.P.
AIF II, L.P.

Apollo Capital Management II, Inc.
Apollo Advisors II, L.P.
Apollo Capital Partners (UK), L.L.C.

Apollo Co-Investors Manager, LLC
AGRE Europe Co-Investors (A), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

AGRE Europe Co-Investors (A), L.P., with respect to Series CAI thereof
AGRE Europe Co-Investors (A), L.P., with respect to Series GSS thereof
AGRE Europe Co-Investors (A), L.P., with respect to Series Prime thereof
Apollo Accord Co-Investors (A), L.P.
Apollo Accord Co-Investors (D), L.P.
Apollo AGRE SFR Co-Investors (A), L.P.
Apollo AGRE USREF Co-Investors (A), L.P.
Apollo AIE II Co-Investors (A), L.P.
Apollo A-N Credit Co-Investors (FC-D), L.P.
Apollo ANRP Co-Investors (A), L.P.
Apollo ANRP Co-Investors (B), L.P.
Apollo ANRP Co-Investors (D), L.P.
Apollo ANRP Co-Investors (IH-A), L.P.
Apollo ANRP Co-Investors II (A), L.P.
Apollo ANRP Co-Investors II (D), L.P.
Apollo ANRP Co-Investors II (DC-D), L.P.
Apollo APC Co-Investors (A), L.P.
Apollo Asia Co-Investors, LLC
Apollo Co-Investors IX (A), L.P.
Apollo Co-Investors IX (D), L.P.
Apollo Co-Investors VI (A), LLC
Apollo Co-Investors VI (B), LLC
Apollo Co-Investors VI (C), LLC
Apollo Co-Investors VI (D), L.P.
Apollo Co-Investors VII (A), L.P.
Apollo Co-Investors VII (B), LLC
Apollo Co-Investors VII (C), LLC
Apollo Co-Investors VII (D), L.P.
Apollo Co-Investors VII (EH-A), L.P.
Apollo Co-Investors VIII (A), L.P.
Apollo Co-Investors VIII (DC-D), L.P.
Apollo Co-Investors VIII (EH-A), L.P.
Apollo Co-Investors VIII (FC-D), L.P.
Apollo Credit Fund Co-Investors, LP

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Credit Opportunity Co-Investors III (A), LP
Apollo Credit Opportunity Co-Investors III (B), LP
Apollo Credit Strategies Co-Investors, LP
Apollo Energy Opportunity Co-Investors (A) LP
Apollo EPF Co-Investors II (A), L.P.
Apollo EPF Co-Investors II (D), L.P.
Apollo EPF Co-Investors II (Euro), L.P.
Apollo EPF Co-Investors III (A), L.P.
Apollo EPF Co-Investors III (D), L.P.
Apollo Franklin Co-Investors (DC-D), L.P.
Apollo Lincoln Private Credit Co-Investors (DC-D), L.P.
Apollo SOMA Co-Investors, LLC
Apollo Special Situations Co-Investors (A), L.P.
Apollo Special Situations Co-Investors (D), L.P.
Apollo SVF 2010 Bonus Pool, L.P.
Apollo SVF Co-Investors Offshore, L.P.
Apollo SVF Co-Investors, LLC
Apollo Total Return Co-Investors (A), L.P.
Apollo Tower Credit Co-Investors (DE FC-D), L.P.
Apollo USREF Co-Investors II (A), L.P.
FCI Co-Investors I (A), L.P.
FCI Co-Investors I (B), L.P.
FCI Co-Investors II (A), L.P.
Apollo Co-Investors VII (NR D), L.P.
Apollo Co-Investors VIII (D), L.P.
Apollo Co-Investors VI (EH-D), L.P.
Apollo ANRP Co-Investors (IH-D), L.P.
Apollo Co-Investors VII (EH-D), L.P.
Apollo Co-Investors VII (NR EH-D), L.P.
Apollo Co-Investors VIII (EH-D), L.P.
Apollo SPN Co-Investors (D), L.P.
Apollo Co-Investors VI (FC-D), L.P.
Apollo SPN Co-Investors (FC-D), L.P.
Apollo ANRP Co-Investors (FC-D), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo Co-Investors VII (FC-D), L.P.
Apollo Co-Investors VII (NR FC-D), L.P.
Apollo Kings Alley Credit Co-Investors (D), L.P.
Apollo Co-Investors VI (DC-D), L.P.
Apollo ANRP Co-Investors (DC-D), L.P.
Apollo Co-Investors VII (NR DC-D), L.P.
Apollo Co-Investors VII (DC-D), L.P.
Apollo AGRE USREF Co-Investors (B), LLC
Apollo Centre Street Co-Investors (DC-D), L.P.
Apollo AIE II Co-Investors (B), L.P.
Apollo SPN Co-Investors (DC-D), L.P.
Apollo Senior Loan Fund Co-Investors (D), L.P.
Apollo Asia Real Estate Co-Investors (A), L.P.
Apollo Structured Credit Recovery Co-Investors IV (A) LP
Apollo Special Situations Co-Investors (IH-A), L.P.
Apollo Union Street SPV Co-Investors (D), L.P.
Apollo HVF Co-Investors (D), L.P.
Apollo HVF Co-Investors (A), L.P.
Apollo Accord Co-Investors II (D), L.P.
Apollo Accord Co-Investors II (A), L.P.
Apollo Venerable Co-Investors (A), L.P.
Apollo Capital Efficient Co-Investors (D), L.P.
Apollo HVF Co-Investors (DC-D), L.P.
Apollo ANRP Co-Investors III (D), L.P.
Apollo ANRP Co-Investors III (DC-D), L.P.
FCI Co-Investors IV (A), L.P.
FCI Co-Investors IV (D), L.P.
Apollo ANRP Co-Investors III (A), L.P.
Apollo AGRE APREF Co-Investors (D), L.P.
Apollo Co-Investors VI (EH-GP), LLC
Apollo Structured Credit Recovery Co-Investors III (A) LP
Apollo Tactical Value SPN Co-Investors (DC-D), L.P.
Apollo Union Street Co-Investors (D), L.P.
FCI Co-Investors III (A), L.P.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Apollo ANRP Co-Investors II (IH-D), L.P.
Apollo ANRP Co-Investors II (IH-A), L.P.
Apollo Special Situations Co-Investors (IH-D), L.P.
Apollo Infra Equity Co-Investors (A), L.P.
Apollo Infra Equity Co-Investors (D), L.P.
Apollo Infra Equity Co-Investors (IH-D), L.P.
Apollo RRH Co-Investors (A), L.P.
Apollo ADIP Co-Investors (A), L.P.
Apollo ADIP Co-Investors (D), L.P.
Apollo Alamo Co-Investors (D), L.P.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000	27-0470646				RegionalCare Hospital Partners, Inc.	DE	NIA	RCHP, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	.0
00000		00000	47-4000401				RHN Clark Memorial Hospital, LLC	DE	NIA	The Regional Health Network of Kentucky and Southern Indiana, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	.0
00000		00000	61-1764853				RHN Clark Memorial Physician Practices, LLC	DE	NIA	RHN Clark Memorial Hospital, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	.0
00000		00000	46-1113518				RHN Scott Memorial Hospital, LLC	DE	NIA	The Regional Health Network of Kentucky and Southern Indiana, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	.0
00000		00000	37-1705734				RHN Scott Physician Practices, LLC	DE	NIA	RHN Scott Memorial Hospital, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	.0
00000		00000	20-0959379				River Parishes Hospital, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	.0
00000		00000	20-2502853				River Parishes Partner, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	.0
00000		00000	20-1227403				River Parishes Physician Practices, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	.0
00000		00000	62-1762468				Riverton Memorial Hospital, LLC	DE	NIA	LifePoint Hospitals Holdings, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	.0
00000		00000	26-3839861				Riverton Oncology Practice, LLC	DE	NIA	LifePoint Hospitals Holdings, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	.0
00000		00000	62-1763635				Riverton Physician Practices, LLC	DE	NIA	LifePoint Hospitals Holdings, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	.0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000.....		00000.....	62-1762469.....				Riverview Medical Center, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	45-3853399.....				Riverview Physician Practices, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	45-4282120.....				Rockdale Clinically Integrated Medical Care Organization, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	26-3202930.....				Rockdale Hospital, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	27-1363956.....				Rockdale Physician Practices, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	27-2258662.....				RRL Ottumwa, LLC.....	DE.....	NIA.....	RCHP-Ottumwa, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1771866.....				Russellville Holdings, LLC.....	DE.....	NIA.....	St. Mary's Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	35-2632651.....				Saint Mary's Primary Care Network, LLC.....	DE.....	NIA.....	St. Mary's Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	81-2831831.....				Saline Clinics, LLC.....	DE.....	NIA.....	Saline County Medical Center Joint Venture, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	37-1875655.....				Saline County Hospital, LLC.....	DE.....	NIA.....	Capella Healthcare, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	37-1828157.....				Saline County Medical Center Joint Venture, LLC.....	DE.....	NIA.....	Capella Healthcare, LLC.....	Ownership.....	51.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000.....		00000.....	37-1828157.....				Saline County Medical Center Joint Venture, LLC.....	DE.....	NIA.....	Saline County Medical Center.....	Ownership.....	49.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	71-0799300.....				Saline FirstCare, Inc.....	AK.....	NIA.....	Hospital, LLC.....	Ownership.....	33.3	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	71-0799300.....				Saline FirstCare, Inc.....	AK.....	NIA.....	Physician Investors.....	Ownership.....	33.3	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	71-0799300.....				Saline FirstCare, Inc.....	AK.....	NIA.....	Licensed Healthcare Professional Members.....	Ownership.....	33.3	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	81-2816675.....				Saline Hospital, LLC.....	DE.....	NIA.....	Saline County Medical Center Joint Venture, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	47-4681738.....				Shared Business Services, LLC.....	DE.....	NIA.....	LifePoint Hospitals Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	33-1032693.....				Sharon Hospital Holding Company.....	DE.....	NIA.....	EHC0, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	32-0381422.....				Shoals Health Group, LLC.....	DE.....	NIA.....	Florence Physicians, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	37-1741217.....				Shoals Obstetrics and Gynecology, LLC.....	DE.....	NIA.....	Florence Physicians, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	45-5495321.....				Sierra Vista Regional Health Center Medical Group, L.L.C.....	AZ.....	NIA.....	RCHP-Sierra Vista Holding, Inc.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1762275.....				Siletnchnik Practice, LLC.....	DE.....	NIA.....	LifePoint of Kentucky, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000.....		00000.....	46-3218523.....				SJRMCInterventional Radiology Services, LLC.....	ID.....	NIA.....	St. Joseph Hospital, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1762490.....				Smith County Memorial Hospital, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1864098.....				Somerset Surgery Partner, LLC.....	DE.....	NIA.....	LifePoint of Lake Cumberland, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1763622.....				Southern Tennessee EMS, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1762535.....				Southern Tennessee Medical Center, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1824632.....				Southern Tennessee PHO, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1757662.....				Southwestern Medical Center, LLC.....	DE.....	NIA.....	Lawton Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	20-1084297.....				Southwestern Neurosurgery Physicians, LLC.....	OK.....	NIA.....	Lawton Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	57-1141094.....				Southwestern Physician Services, LLC.....	OK.....	NIA.....	Lawton Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	27-3256164.....				Southwestern Radiology Affiliates, LLC.....	DE.....	NIA.....	Lawton Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	26-3311227.....				Southwestern Surgical Affiliates, LLC.....	DE.....	NIA.....	Lawton Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
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00000.....		00000.....	20-0155414.....				Spring View Hospital, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	20-4302480.....				Spring View Physician Practices, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1754936.....				Springhill Medical Center, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	20-4269117.....				SRMC Healthcare Group, LLC.....	DE.....	NIA.....	Essent Healthcare Pennsylvania, Inc.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1835614.....				SST Community Health, L.L.C.....	TN.....	NIA.....	Sumner Regional Medical Center, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	47-5607993.....				St. Francis Affiliated Services, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	47-5259919.....				St. Francis Health, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	47-5419443.....				St. Francis Physician Practices, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	61-1804883.....				St. Joseph Holdings, LLC.....	DE.....	NIA.....	Capella Healthcare, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	61-1804883.....				St. Joseph Hospital, LLC.....	DE.....	NIA.....	St. Joseph Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	81-4256127.....				St. Joseph Physician Services, LLC.....	DE.....	NIA.....	St. Joseph Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
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00000.....		00000.....	26-4088270.....				St. Mary's Holdings, LLC.....	DE.....	NIA.....	Capella Healthcare, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1769626.....				St. Mary's Physician Services, LLC.....	DE.....	NIA.....	St. Mary's Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1762460.....				St. Mary's Real Property, LLC.....	DE.....	NIA.....	Russellville Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	27-2618964.....				Sumner Physician Practices, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	27-2618993.....				Sumner Real Estate Holdings, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	27-2618766.....				Sumner Regional Medical Center, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	47-4583254.....				Teche Regional Physician Practices, LLC.....	DE.....	NIA.....	Province Healthcare Company, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	75-2623970.....				Texas & Oklahoma Preferred Provider System.....	TX.....	NIA.....	Essent PRMC, L.P.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	26-2477205.....				Texas Specialty Physicians.....	TX.....	NIA.....	Mexia-Principal, Inc.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1762591.....				THM Physician Practice, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	80-0835692.....				The Regional Health Network of Kentucky and Southern Indiana, LLC.....	DE.....	NIA.....	Norton Partner, LLC.....	Ownership.....	75.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000.....		00000.....	80-0835692.....				The Regional Health Network of Kentucky and Southern Indiana, LLC.....	DE.....	NIA.....	Norton Enterprises, Inc.....	Ownership.....	25.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	27-2618876.....				Trousdale Medical Center, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	45-3853454.....				Trousdale Physician Practices, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	36-4796940.....				Two Rivers Physician Practices, LLC.....	DE.....	NIA.....	Danville Regional Medical Center, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	27-2272410.....				U.P. Imaging Management Services, LLC.....	MI.....	NIA.....	DLP Marquette JV, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
04734.....	Apollo Global Mgmt Grp.....	52615.....	46-0927995.....				Upper Peninsula Health Plan, LLC.....	MI.....	RE.....	Acquisition Bell Hospital, LLC.....	Ownership.....	5.4	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
04734.....	Apollo Global Mgmt Grp.....	52615.....	46-0927995.....				Upper Peninsula Health Plan, LLC.....	MI.....	RE.....	DLP Marquette Health Plan.....	Ownership.....	59.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
04734.....	Apollo Global Mgmt Grp.....	52615.....	46-0927995.....				Upper Peninsula Health Plan, LLC.....	MI.....	RE.....	Portage JV, LLC.....	Ownership.....	10.5	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	38-3323620.....				Upper Peninsula Managed Care, LLC.....	MI.....	NIA.....	Acquisition Bell Hospital, LLC.....	Ownership.....	5.4	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	38-3323620.....				Upper Peninsula Managed Care, LLC.....	MI.....	NIA.....	DLP Marquette Health Plan.....	Ownership.....	59.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	38-3323620.....				Upper Peninsula Managed Care, LLC.....	MI.....	NIA.....	Portage JV, LLC.....	Ownership.....	10.5	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000	26-4227573				Valley View Physician Practices, LLC	DE	NIA	Province Healthcare Company, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan	N	.0
00000		00000	20-5831435				Vaughan Physician Practices, LLC	DE	NIA	PRHC-Alabama, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan	N	.0
00000		00000	62-1864231				Vaughan Regional Medical Center, LLC	DE	NIA	PRHC-Alabama, LLC	Ownership	99.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan	N	.0
00000		00000	62-1864231				Vaughan Regional Medical Center, LLC	DE	NIA	Vaughan Place Senior Living Community, Inc	Ownership	1.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan	N	.0
04734	Apollo Global Mgmt Grp	00000	66-0650377				Vigilant Insurance Company, Inc	CYM	IA	RCHP, Inc	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan	N	.0
00000		00000	62-1868757				Ville Platte Medical Center, LLC	DE	NIA	LifePoint Holdings 2, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan	N	.0
00000		00000	61-1757641				Watertown Holding Company, LLC	DE	NIA	Greater Watertown Community Health Foundation	Ownership	20.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan	N	.0
00000		00000	61-1757641				Watertown Holding Company, LLC	DE	NIA	Watertown Partner, LLC	Ownership	80.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan	N	.0
00000		00000	47-4583358				Watertown JV, LLC	DE	NIA	Watertown Holding Company, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan	N	.0
00000		00000	47-3937421				Watertown Medical Center, LLC	WI	NIA	Watertown Holding Company, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan	N	.0
00000		00000	26-2483488				Watertown Network, LLC	WI	NIA	Watertown JV, LLC	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan	N	.0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000.....		00000.....	47-3450210.....				Watertown Partner, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	47-3943680.....				Watertown Physician Practices, LLC.....	WI.....	NIA.....	Watertown Holding Company, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1630580.....				West Virginia Management Services Organization, Inc.....	WV.....	NIA.....	LifePoint WV Holdings, Inc.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	20-8179824.....				Western Plains Physician Practices, LLC.....	DE.....	NIA.....	Dodge City Healthcare Group, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1762592.....				Western Plains Regional Hospital, LLC.....	DE.....	NIA.....	LifePoint Hospitals Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	20-1275656.....				Western Washington Healthcare, LLC.....	WA.....	NIA.....	Columbia Olympia Management, Inc.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1766695.....				Willamette Valley Clinics, LLC.....	DE.....	NIA.....	Oregon Healthcorp, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	46-1967992.....				Willamette Valley Health Solutions, LLC.....	DE.....	NIA.....	Oregon Healthcorp, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	62-1762552.....				Willamette Valley Medical Center, LLC.....	DE.....	NIA.....	Oregon Healthcorp, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	27-3723378.....				Wilmington Physicians Group, LLC.....	DE.....	NIA.....	Wilmington Physicians Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0
00000.....		00000.....	27-3723353.....				Wilmington Physicians Holdings, LLC.....	DE.....	NIA.....	RegionalCare Hospital Partners, Inc.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	.0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000.....		00000.....	52-2260534.....				Woodford Hospital, LLC.....	DE.....	NIA.....	LifePoint of Kentucky, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....	62-1839545.....				WPC Holdco, LLC.....	DE.....	NIA.....	Capital Medical Center Holdings, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....	20-2468795.....				Wythe County Community Hospital, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....	20-3230510.....				Wythe County Physician Practices, LLC.....	DE.....	NIA.....	LifePoint Holdings 2, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....	62-1698438.....				Zone, Incorporated.....	WV.....	NIA.....	Raleigh General Hospital, LLC.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....	98-1448477.....				Highlands Holdings, Ltd.....	BMU.....	NIA.....	AP Highlands Holdings, L.P.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....			0001267395	NYSE: AHL	Aspen Insurance Holdings Limited.....	BMU.....	NIA.....	Highlands Holdings, Ltd.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....					Aspen Insurance Ireland Holdings Limited.....	IRL.....	NIA.....	Aspen Insurance Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....					Aspen Insurance Designated Activity Company.....	IRL.....	NIA.....	Aspen Insurance Ireland Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....	AA-3194168.....				Aspen Bermuda Limited.....	BMU.....	IA.....	Aspen Insurance Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....					Aspen (UK) Holdings Limited.....	GBR.....	NIA.....	Aspen Insurance Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000.....		00000.....					Aspen European Holdings Limited.....	GBR.....	NIA.....	Aspen Insurance Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....	AA-1120337.....				Aspen Insurance UK Limited.....	GBR.....	IA.....	Aspen European Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....					Acorn Limited.....	BMU.....	NIA.....	Aspen Insurance Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....					Blue Waters Insurers, Corp.....	PR.....	NIA.....	Acorn Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....					Aspen Managing Agency Limited.....	GBR.....	IA.....	Aspen Insurance Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....					Aspen Singapore Pte. Limited.....	SGP.....	NIA.....	Aspen Managing Agency Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....					Aspen Underwriting Limited.....	GBR.....	IA.....	Aspen Insurance Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....					Silverton Re Ltd.....	BMU.....	NIA.....	Aspen Insurance Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....					Aspen (US) Holdings Limited.....	GBR.....	NIA.....	Aspen Insurance Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....					Aspen Capital Management Limited.....	BMU.....	NIA.....	Aspen Insurance Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0
00000.....		00000.....	30-0793138.....				Aspen Capital Advisors Inc.....	DE.....	NIA.....	Aspen US Holdings Limited.....	Ownership.....	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.....	N.....	0

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					Peregrine Re Ltd.	BMU	NIA	Aspen Capital Management Limited	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	0
00000		00000					Aspen Cat Fund Limited	BMU	NIA	Aspen Capital Management Limited	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	0
00000		00000					Aspen Recoveries Limited	GBR	NIA	Aspen (UK) Holdings Limited	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	0
00000		00000					Aspen Risk Manangement Limited	GBR	NIA	Aspen (UK) Holdings Limited	Ownership	80.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	0
00000		00000	30-0190766				Aspen U.S. Holdings, Inc	DE	NIA	Aspen (UK) Holdings Limited	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	
00000		00000					Aspen Insurance UK Services Limited	GBR	NIA	Aspen (UK) Holdings Limited	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	
00000		00000					Chaspark Maritime Holdings Pte Ltd	SGP	NIA	Aspen Recoveries Limited	Ownership	58.5	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	
00000		00000					APJ Continuation Limited	GBR	NIA	Aspen (UK) Holdings Limited	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	
04734	Apollo Global Mgmt Grp	43460	75-2344200				Aspen American Insurance Company	TX	IA	Aspen U.S. Holdings, Inc.	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	
04734	Apollo Global Mgmt Grp	10717	06-1463851				Aspen Specialty Insurance Company	ND	IA	Aspen American Insurance Company	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	
00000		00000	32-0085193				Aspen Insurance U.S. Services Inc	DE	NIA	Aspen U.S. Holdings, Inc.	Ownership	100.0	Apollo Global Management, LLC; Leon Black; Joshua Harris; Marc Rowan.	N	

STATEMENT AS OF MARCH 31, 2019 OF THE Upper Peninsula Health Plan, LLC

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

[illegible]

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterisk	Explanation
0000010	DLP Marquette Holding Company, LLC is also the sole member of DLP Marquette General Hospital, LLC.....
0000023	Upper Peninsula Managed Care, LLC has a contractual relationship only with the insurer.....

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

RESPONSE

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

.....NO.....

Explanation:

Bar Code:

1.



5 2 6 1 5 2 0 1 9 3 6 5 0 0 0 0 1

OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A – VERIFICATION

Real Estate

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	11,694,467	12,047,264
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0
2.2 Additional investment made after acquisition		21,993
3. Current year change in encumbrances0
4. Total gain (loss) on disposals0
5. Deduct amounts received on disposals0
6. Total foreign exchange change in book/adjusted carrying value0
7. Deduct current year's other-than-temporary impairment recognized0
8. Deduct current year's depreciation	93,791	374,790
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	11,600,676	11,694,467
10. Deduct total nonadmitted amounts0	.0
11. Statement value at end of current period (Line 9 minus Line 10)	11,600,676	11,694,467

SCHEDULE B – VERIFICATION

Mortgage Loans

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0
2.2 Additional investment made after acquisition0
3. Capitalized deferred interest and other0
4. Accrual of discount0
5. Unrealized valuation increase (decrease)0
6. Total gain (loss) on disposals0
7. Deduct amounts received on disposals0
8. Deduct amortization of premium and mortgage interest points and commitment fees0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest0
10. Deduct current year's other-than-temporary impairment recognized0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)0	.0
12. Total valuation allowance0
13. Subtotal (Line 11 plus Line 12)0	.0
14. Deduct total nonadmitted amounts0	.0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

NONE

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0
2.2 Additional investment made after acquisition0
3. Capitalized deferred interest and other0
4. Accrual of discount0
5. Unrealized valuation increase (decrease)0
6. Total gain (loss) on disposals0
7. Deduct amounts received on disposals0
8. Deduct amortization of premium and depreciation0
9. Total foreign exchange change in book/adjusted carrying value0
10. Deduct current year's other-than-temporary impairment recognized0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)0	.0
12. Deduct total nonadmitted amounts0	.0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

NONE

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	21,647,349	19,198,351
2. Cost of bonds and stocks acquired	6,125,959	7,662,848
3. Accrual of discount	2,201	6,249
4. Unrealized valuation increase (decrease)0	.0
5. Total gain (loss) on disposals0	.0
6. Deduct consideration for bonds and stocks disposed of	950,000	5,182,000
7. Deduct amortization of premium	9,503	38,099
8. Total foreign exchange change in book/adjusted carrying value0	.0
9. Deduct current year's other-than-temporary impairment recognized0	.0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees0	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	26,816,006	21,647,349
12. Deduct total nonadmitted amounts0	.0
13. Statement value at end of current period (Line 11 minus Line 12)	26,816,006	21,647,349

STATEMENT AS OF MARCH 31, 2019 OF THE Upper Peninsula Health Plan, LLC

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	32,853,721	5,875,959	4,450,000	261,951	34,541,631	0	0	32,853,721
2. NAIC 2 (a).....	2,250,000	250,000	0	(250,000)	2,250,000	0	0	2,250,000
3. NAIC 3 (a).....	0	0	0	0	0	0	0	0
4. NAIC 4 (a).....	0	0	0	0	0	0	0	0
5. NAIC 5 (a).....	0	0	0	0	0	0	0	0
6. NAIC 6 (a).....	0	0	0	0	0	0	0	0
7. Total Bonds	35,103,721	6,125,959	4,450,000	11,951	36,791,631	0	0	35,103,721
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	35,103,721	6,125,959	4,450,000	11,951	36,791,631	0	0	35,103,721

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 9,975,626 ; NAIC 2 \$ 0 ;

NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$

SCHEDULE DA - PART 1
Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	9,975,626	XXX	9,939,335	23,750	0

SCHEDULE DA - VERIFICATION
Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	13,456,372	10,795,607
2. Cost of short-term investments acquired	0	15,856,034
3. Accrual of discount	19,254	34,037
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	3,500,000	13,209,000
7. Deduct amortization of premium.....	0	20,306
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	9,975,626	13,456,372
11. Deduct total nonadmitted amounts.....		0
12. Statement value at end of current period (Line 10 minus Line 11)	9,975,626	13,456,372

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

SCHEDULE E – PART 2 – VERIFICATION
(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	16,177,172	0
2. Cost of cash equivalents acquired	58,000,000	16,177,172
3. Accrual of discount		0
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals.....	66,137	0
6. Deduct consideration received on disposals	49,000,000	0
7. Deduct amortization of premium		0
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	25,243,309	16,177,172
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	25,243,309	16,177,172

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

Schedule BA - Part 2

NONE

Schedule BA - Part 3

NONE

E04

E04

E04

E04

E04

STATEMENT AS OF MARCH 31, 2019 OF THE Upper Peninsula Health Plan, LLC

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

[illegible]

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

E05

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

STATEMENT AS OF MARCH 31, 2019 OF THE Upper Peninsula Health Plan, LLC

SCHEDULE E - PART 1 - CASH

[illegible]

SCHEDULE E - PART 2 - CASH EQUIVALENTS

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